

선행 Osimertinib 치료의 수술적 관점 : 절제 가능성과 수술 전략에 미치는 영향

Byung Jo Park

Department of Thoracic and Cardiovascular Surgery

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Rationale for Neoadjuvant Therapy in EGFR-Mutant NSCLC

- **Adjuvant Osimertinib** is the **current standard of care** after the ADAURA trial.

The NEW ENGLAND
JOURNAL of MEDICINE

ESTABLISHED IN 1812

OCTOBER 29, 2020

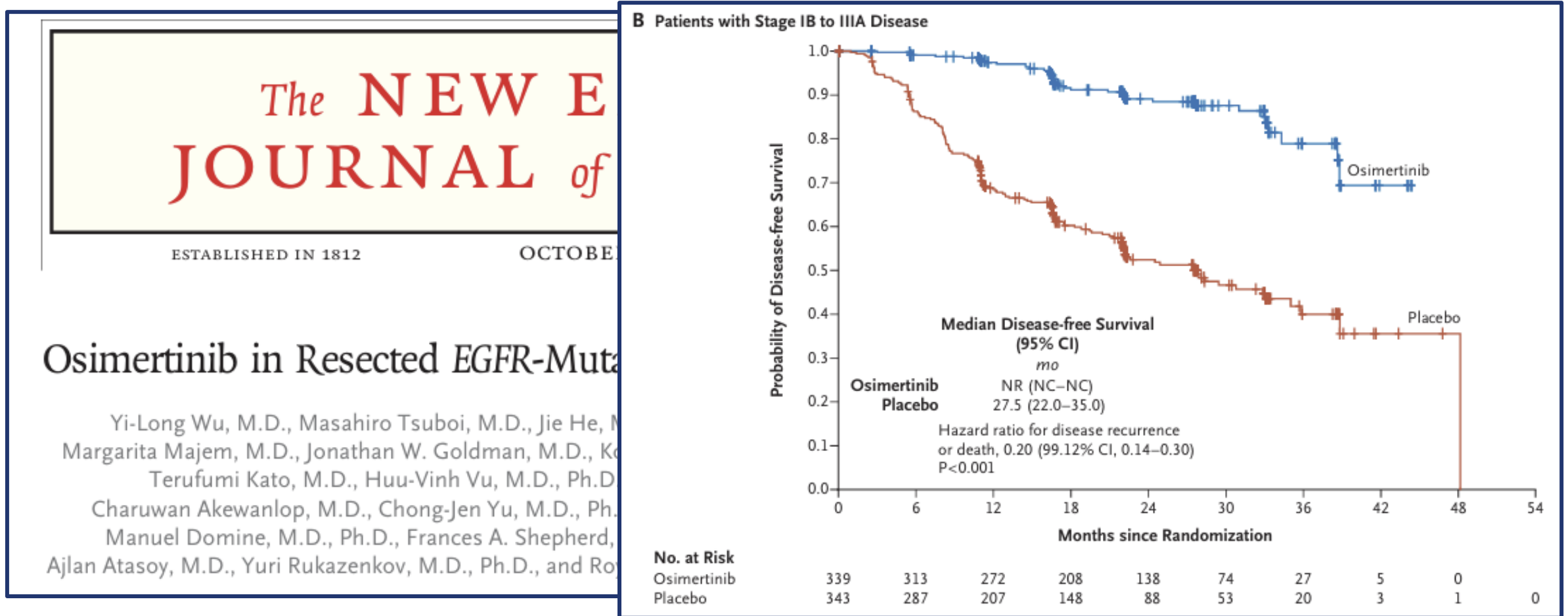
VOL. 383 NO. 18

Osimertinib in Resected *EGFR*-Mutated Non-Small-Cell Lung Cancer

Yi-Long Wu, M.D., Masahiro Tsuboi, M.D., Jie He, M.D., Thomas John, Ph.D., Christian Grohe, M.D., Margarita Majem, M.D., Jonathan W. Goldman, M.D., Konstantin Laktionov, Ph.D., Sang-We Kim, M.D., Ph.D., Terufumi Kato, M.D., Huu-Vinh Vu, M.D., Ph.D., Shun Lu, M.D., Kye-Young Lee, M.D., Ph.D., Charuwan Akewanlop, M.D., Chong-Jen Yu, M.D., Ph.D., Filippo de Marinis, M.D., Laura Bonanno, M.D., Manuel Domine, M.D., Ph.D., Frances A. Shepherd, M.D., Lingmin Zeng, Ph.D., Rachel Hodge, M.Sc., Ajlan Atasoy, M.D., Yuri Rukazenkov, M.D., Ph.D., and Roy S. Herbst, M.D., Ph.D., for the ADAURA Investigators*

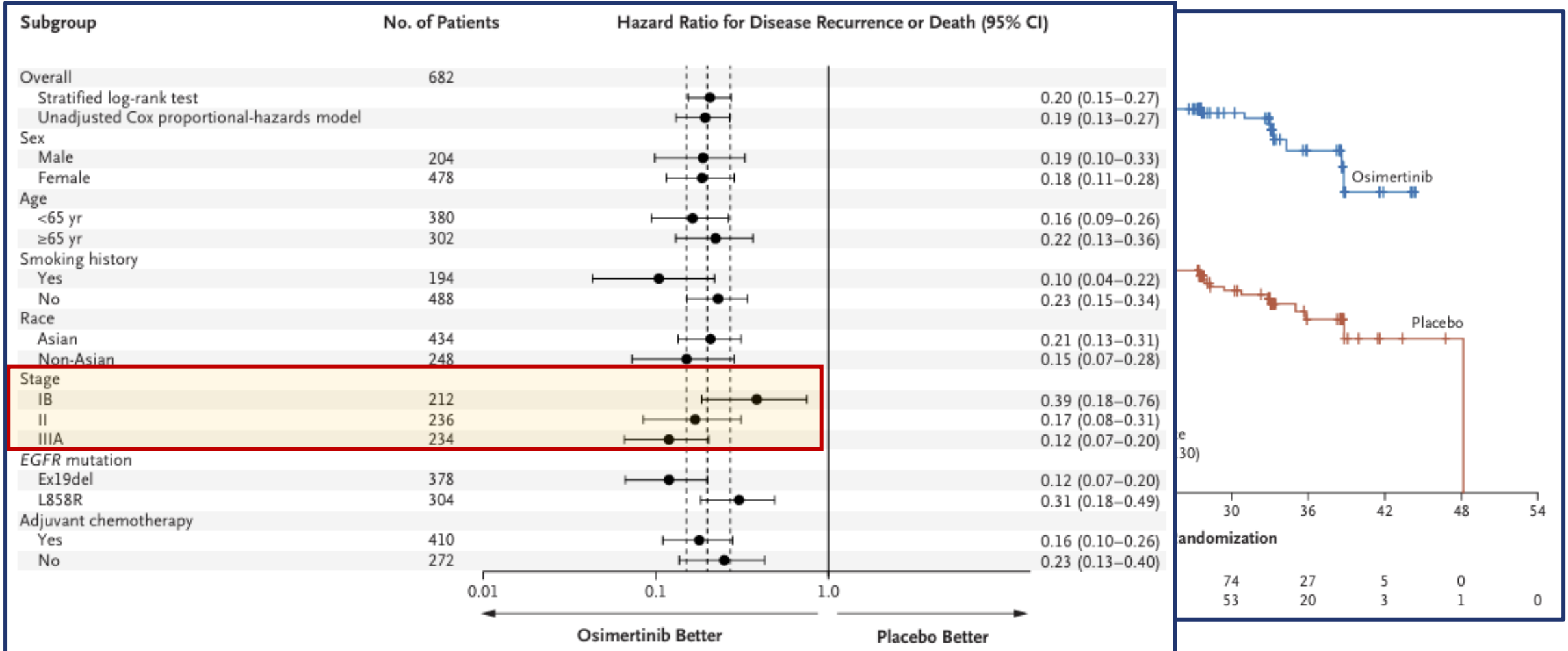
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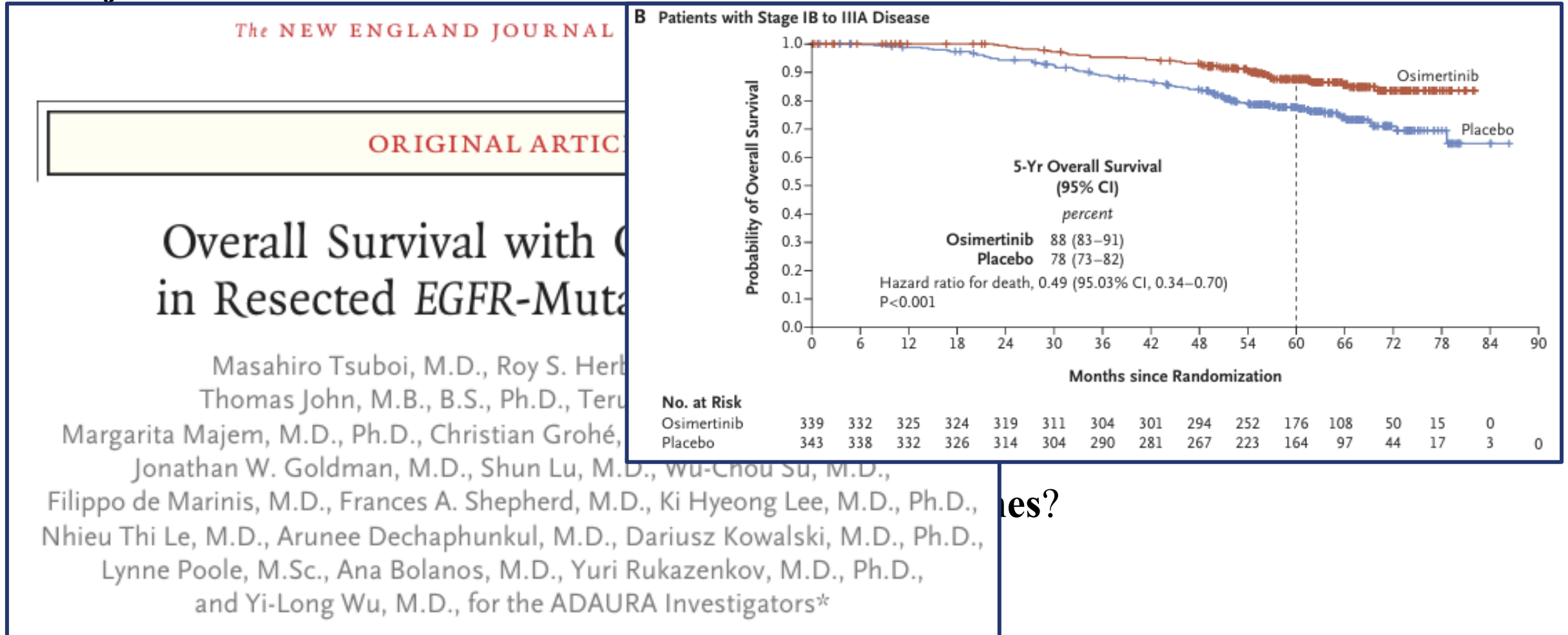
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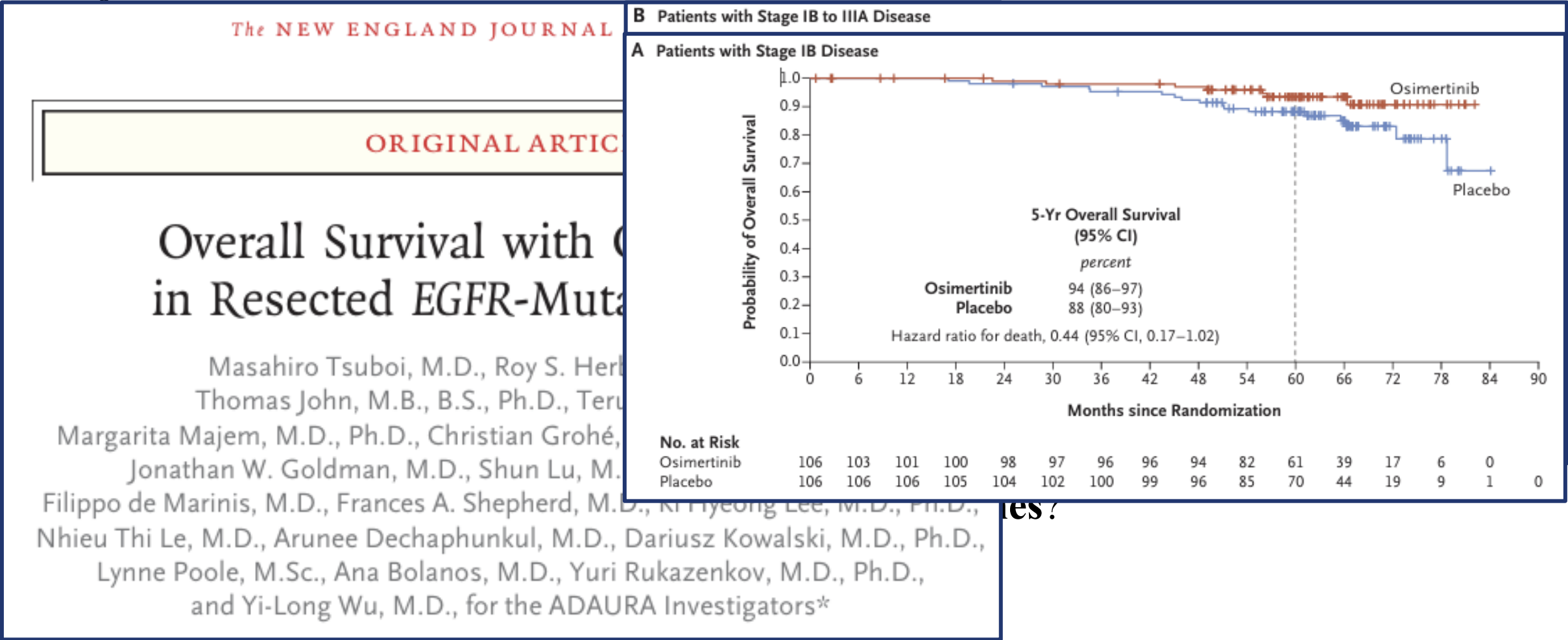
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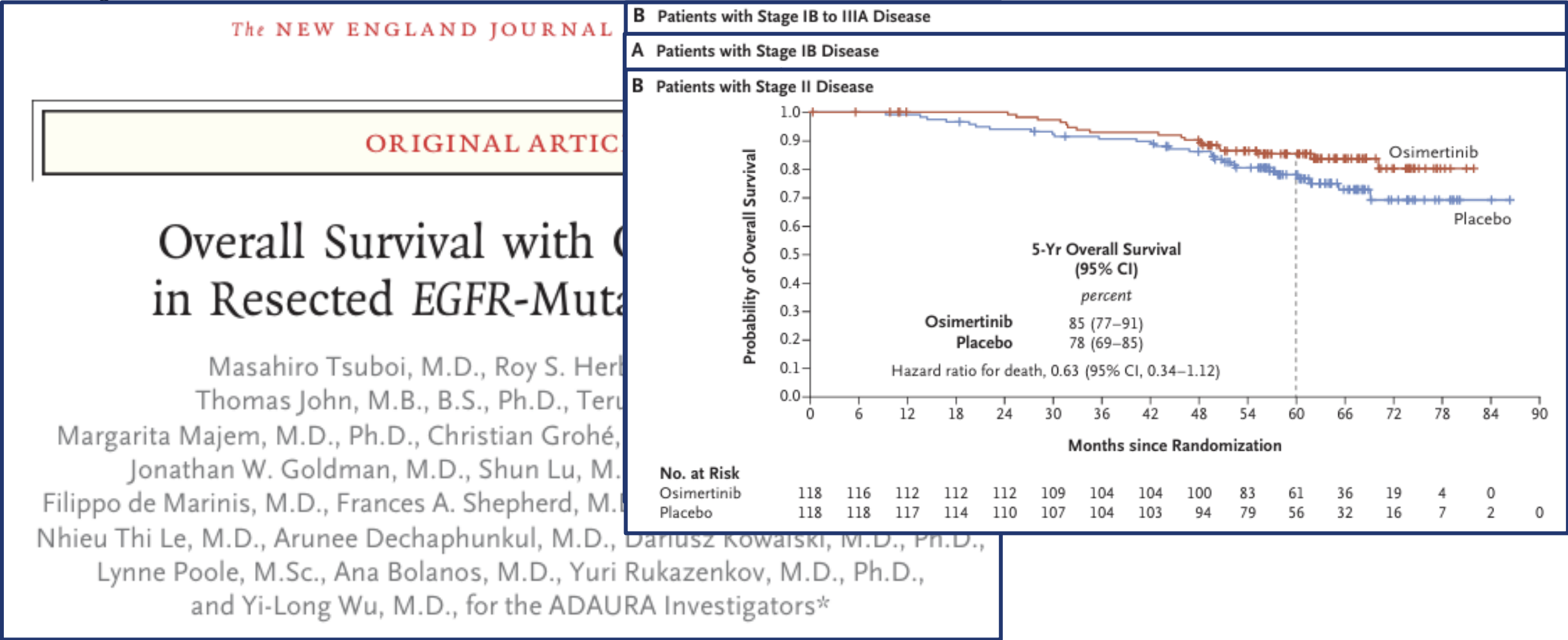
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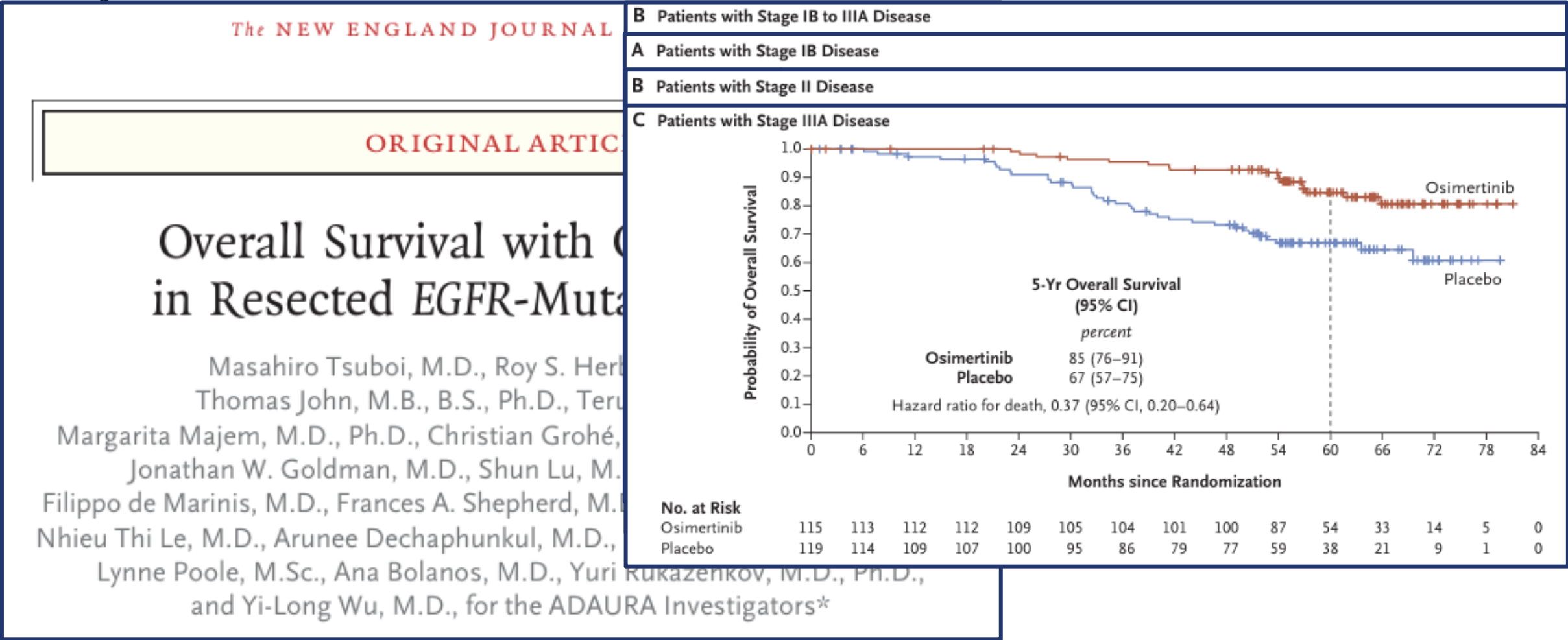
Rationale for Neoadjuvant Therapy in EGFR-Mutant NSCLC

- Adjuvant Osimertinib is the current standard of care after the ADAURA trial.



Rationale for Neoadjuvant Therapy in EGFR-Mutant NSCLC

- Adjuvant Osimertinib is the current standard of care after the ADAURA trial.



Rationale for Neoadjuvant Therapy in EGFR-Mutant NSCLC

- **Adjuvant Osimertinib** is the current standard of care after the ADAURA trial.
- However, **recurrence still occurs after surgery.**
- **Neoadjuvant** therapy may:
 - Provide **early systemic disease control**
 - **Downstage** nodal disease
 - Allow in vivo **assessment of treatment response**
- Does **neoadjuvant EGFR-TKI** change surgical outcomes?

NORA: Pilot Study / single arm / single center (Yonsei)

- 8 weeks of neoadjuvant Osimertinib + 3years of adjuvant Osimertinib
- Clinical response: PR 44% / SD 56%
- **No patient** lost the opportunity for surgery due to **disease progression**
- R0 resection: 100%
- MPR: 24% / pCR: 0%
- No grade ≥ 3 adverse events during neoadjuvant
- Neoadjuvant EGFR-TKI was **Feasible / Well tolerated / Did not compromise surgical candidacy**

NORA: Pilot Study / single arm / single center (Yonsei)



ORIGINAL ARTICLE

Neoadjuvant and Adjuvant Osimertinib in Stage IA to IIIA, *EGFR*-Mutant NSCLC (NORA)



Jii Bum Lee, MD, PhD,^a Su-Jin Choi, MS,^a Hyo Sup Shim, MD, PhD,^b
Byung Jo Park, MD, PhD,^c Chang Young Lee, MD,^c Sumedha Sudhaman, PhD,^d
Sharlene Velichko, PhD,^d Min Hee Hong, MD,^a Byoung Chul Cho, MD, PhD,^a
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Received 20 November 2024; revised 17 December 2024; accepted 20 December 2024

Available online - 26 December 2024

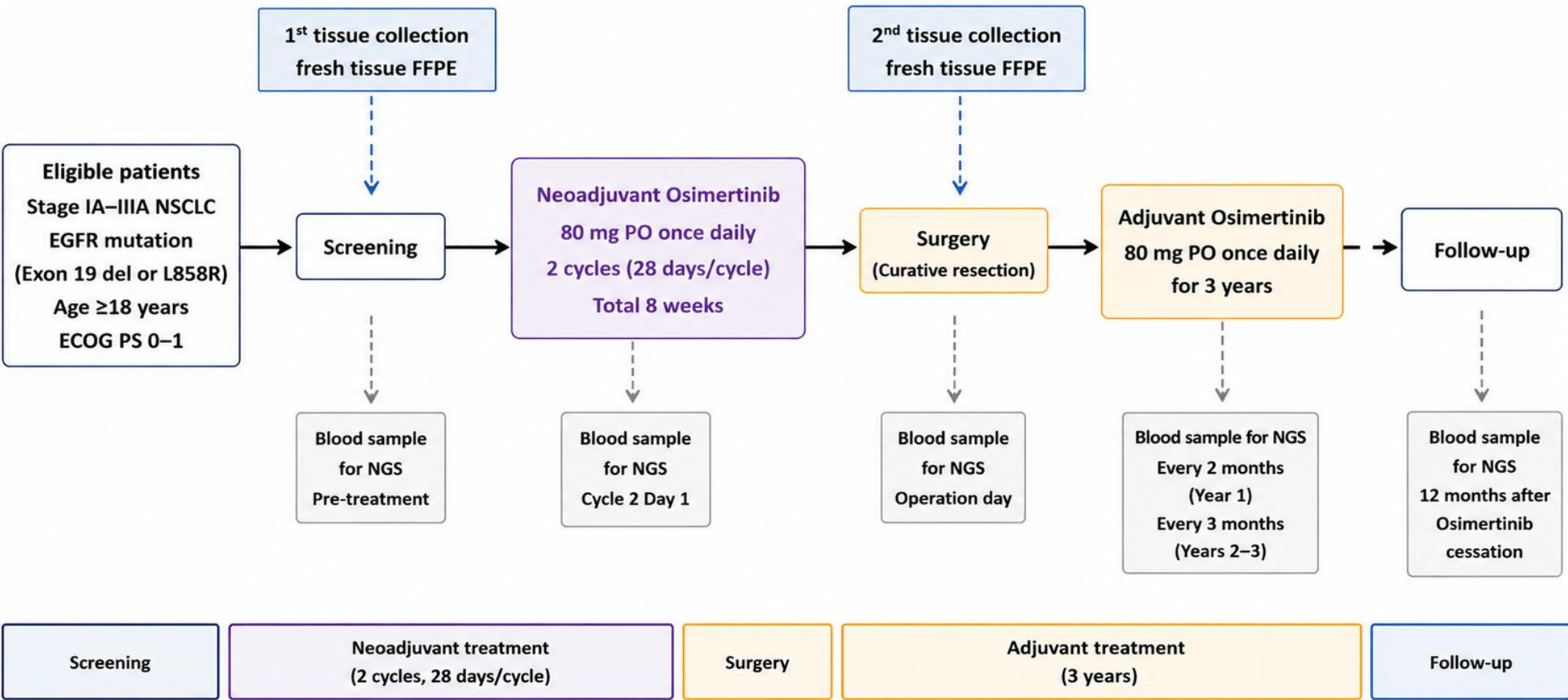


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NORA: Pilot Study / single arm / single center (Yonsei)

Study design (NORA trial, NCT04816838)



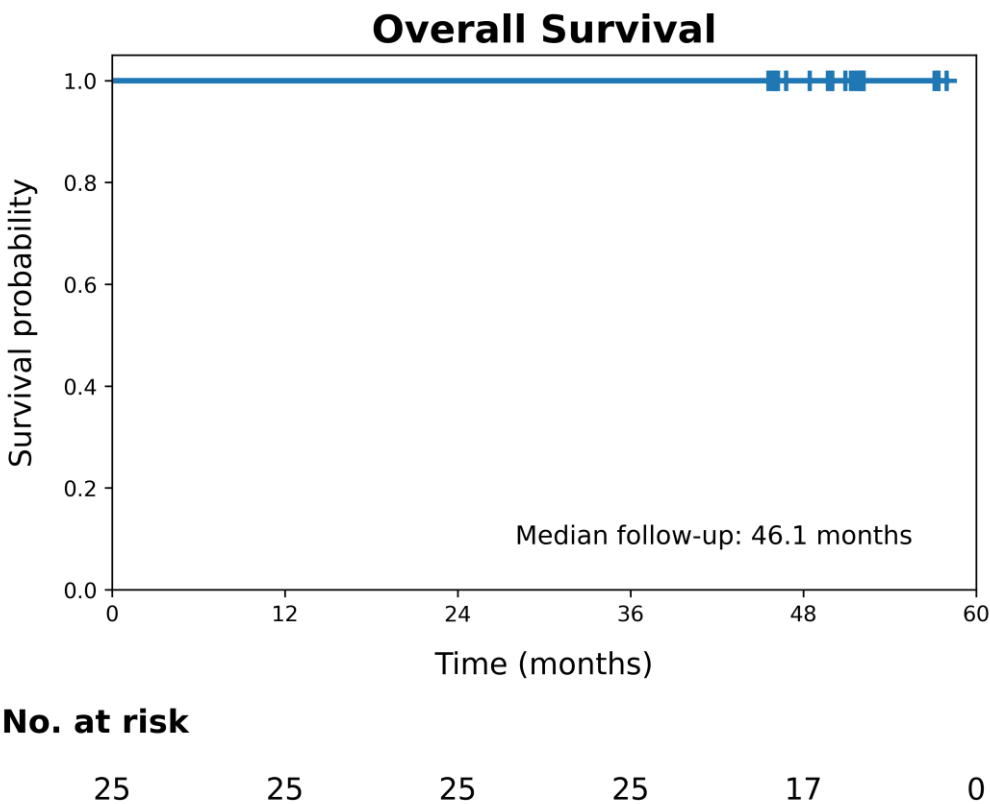
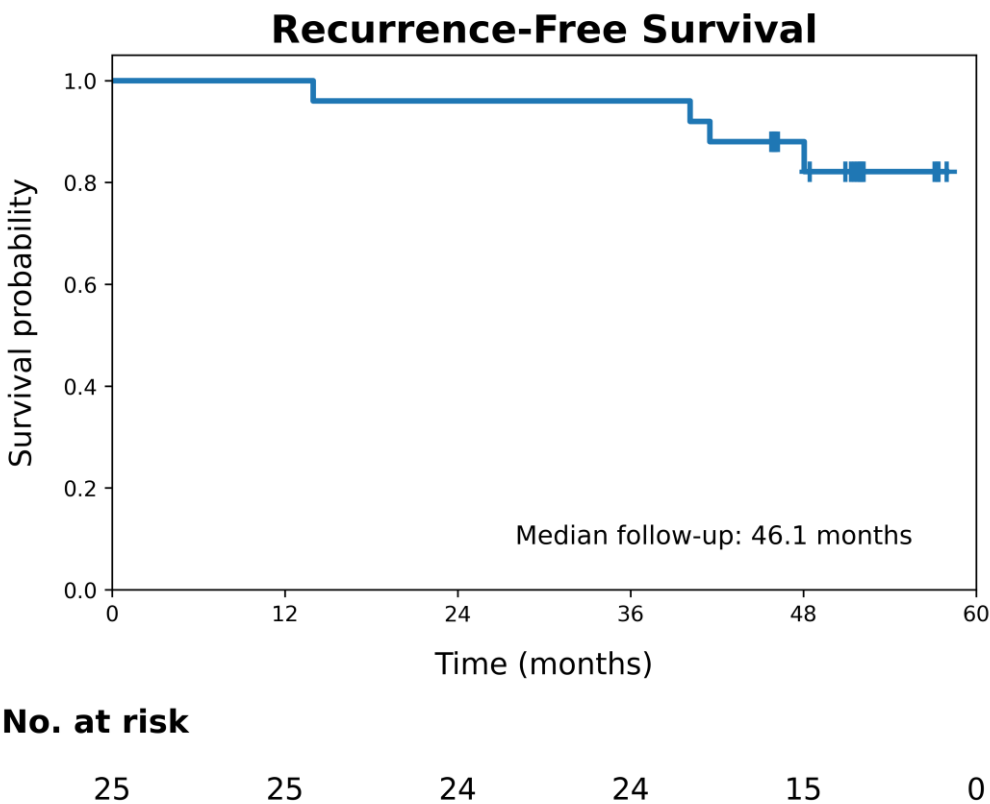
FFPE, formalin-fixed paraffin-embedded; NGS, next-generation sequencing; PO, oral.
Primary endpoint: Objective response rate after 2 cycles of neoadjuvant osimertinib.

NORA: Pilot Study / single arm / single center (Yonsei)

- 8 weeks of neoadjuvant Osimertinib + 3years of adjuvant Osimertinib
- Clinical response: PR 44% / SD 56%
- **No patient** lost the opportunity for surgery due to **disease progression**
- R0 resection: 100% / **VATS: 96%** (Conversion to open: **1 patient** (during LN dissection))
- **MPR: 24%** / **pCR: 0%**
- **No grade ≥ 3 adverse events** during neoadjuvant
- Neoadjuvant EGFR-TKI was **Feasible** / **Well tolerated** / **Did not compromise surgical candidacy**

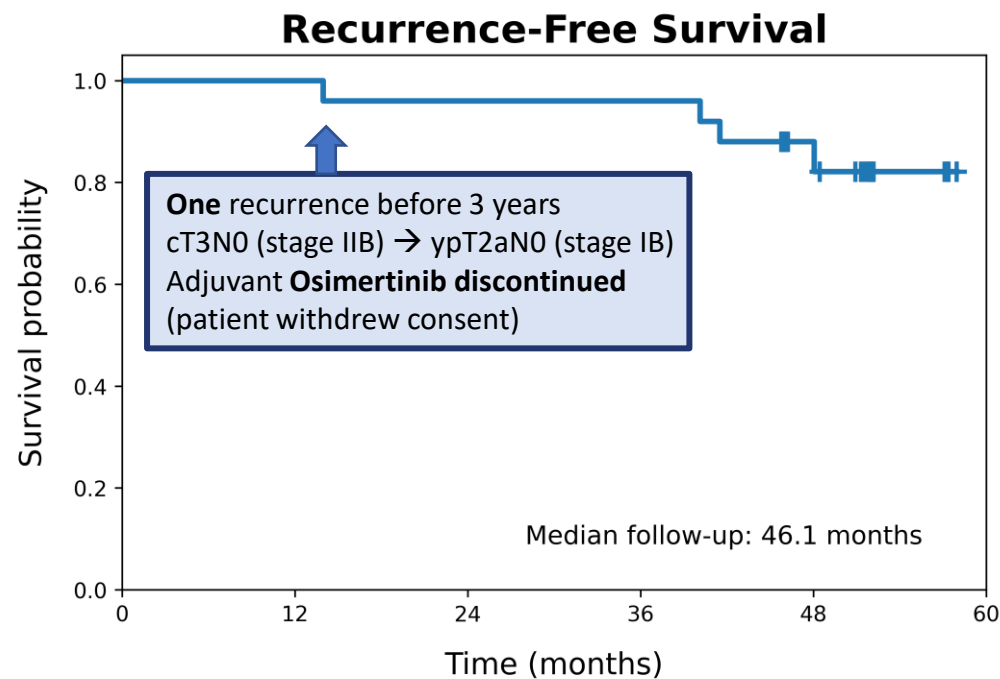
NORA: Pilot Study / single arm / single center (Yonsei)

- Midterm oncologic outcome



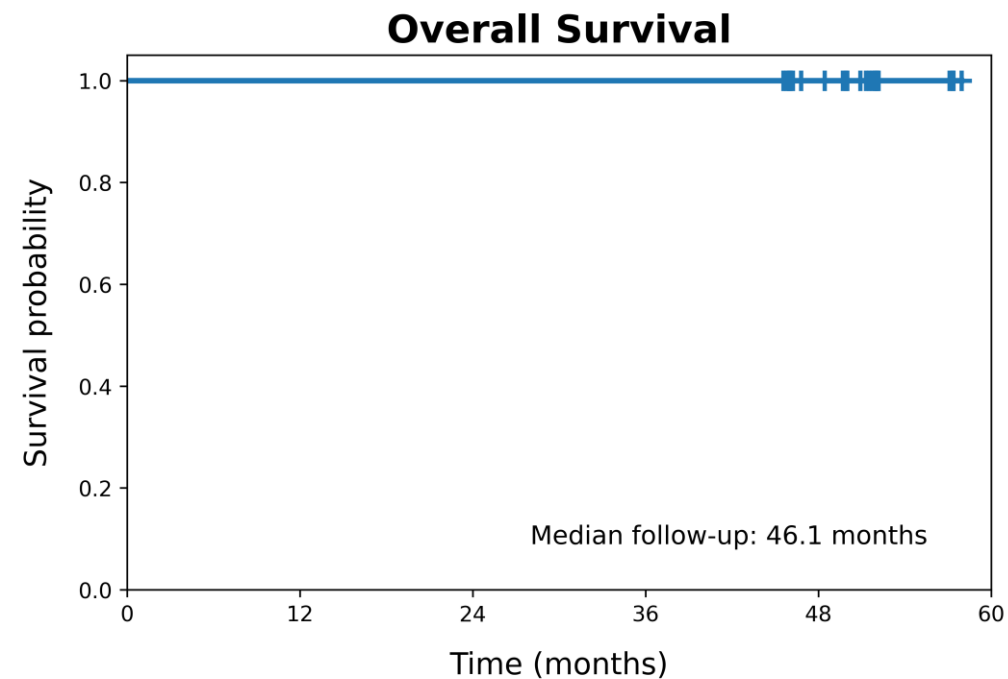
NORA: Pilot Study / single arm / single center (Yonsei)

■ Midterm oncologic outcome



No. at risk

25 25 24 24 15 0

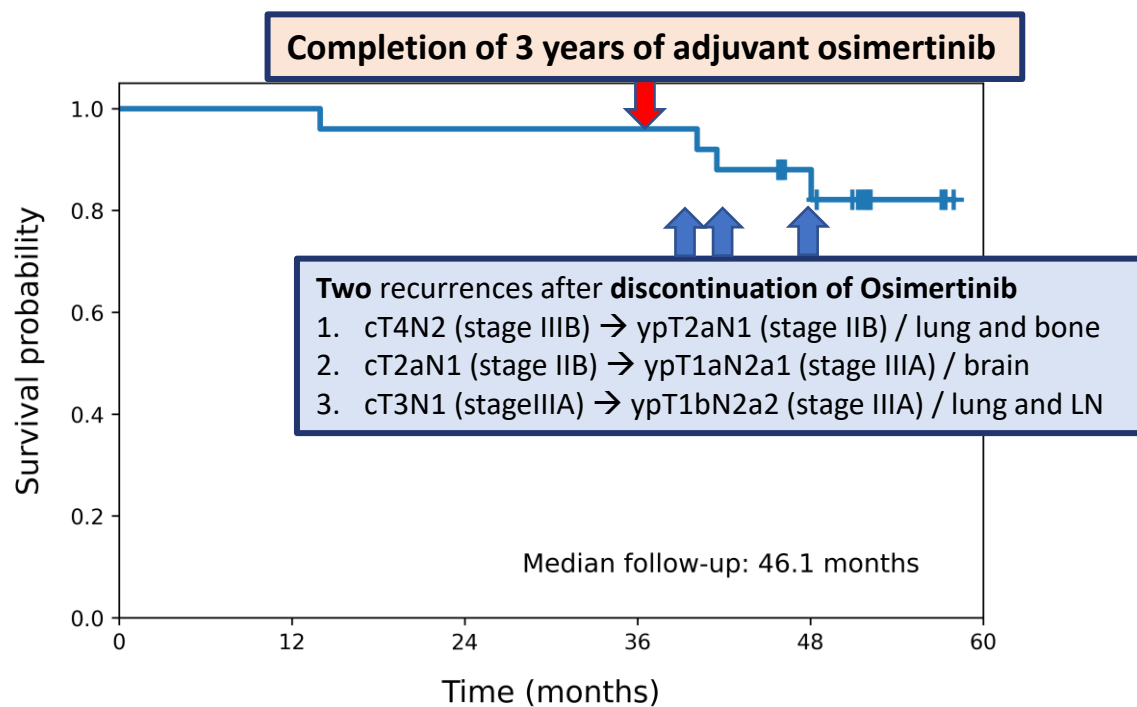


No. at risk

25 25 25 25 17 0

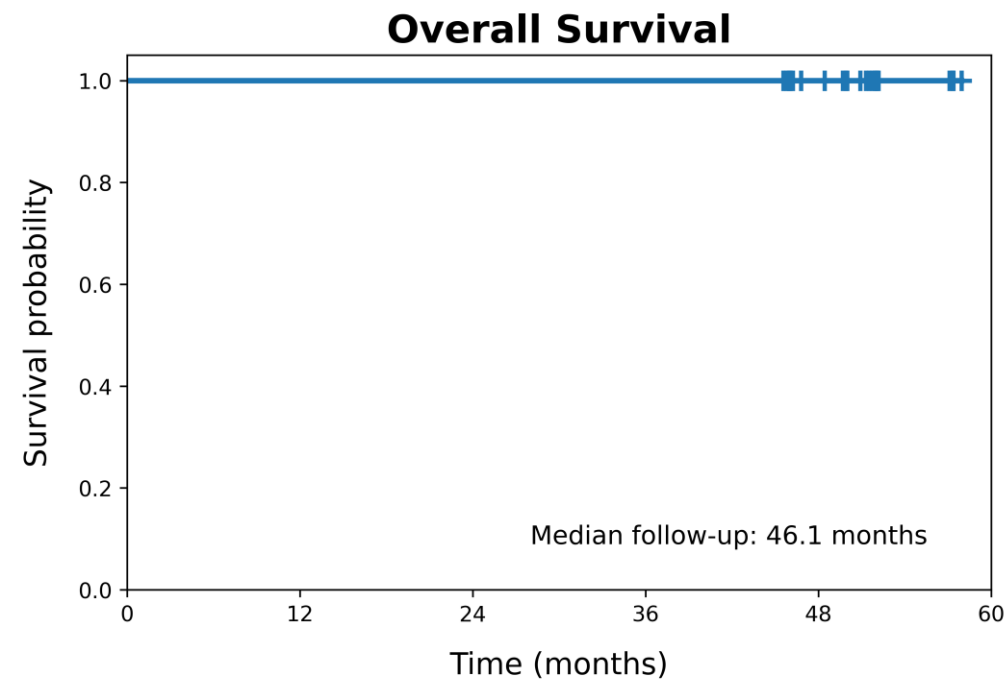
NORA: Pilot Study / single arm / single center (Yonsei)

■ Midterm oncologic outcome



No. at risk

25 25 24 24 15 0



No. at risk

25 25 25 25 17 0

NeoADAURA (Phase III)

- Resectable stage II–IIIB, EGFR-mutant NSCLC
- MPR: ~25% with osimertinib vs 2% with chemotherapy
- Pathologic complete response: low
- 90~95% underwent surgery
- High R0 resection rate: 91~95%
- N2 downstaging observed
- Neoadjuvant osimertinib **significantly improves pathologic response** without compromising surgical feasibility.

NeoADAURA (Phase III)

■ Resectable stage II–IIIB, EGFR-mutant NSCLC

■ ③ Neoadjuvant Osimertinib for Resectable *EGFR*-Mutated Non–Small Cell Lung Cancer

Jianxing He, MD, PhD¹; Masahiro Tsuboi, MD²; Walter Weder, MD³; Ke-Neng Chen, MD, PhD⁴; Maximilian J. Hochmair, MD⁵; Jin-Yuan Shih, MD, PhD⁶; Sung Yong Lee, MD, PhD⁷; Kang-Yun Lee, MD, PhD⁸; Nguyen Viet Nhung, MD, PhD⁹; Somcharoen Saeteng, MD¹¹; Lunxu Liu, MD, PhD¹²; Ligang Xing, MD, PhD¹³; Nguyen Hoang Gia, MD¹⁴; Shuji Murakami, MD¹⁵; Yongtao Han, MD¹⁶; Maria Paz Saavedra, MD¹⁷; Seong Hoon Yoon, MD¹⁸; Carlos H.A. Teixeira, MD¹⁹; Carlos Escrivá, PhD, MBBS^{20,21}; Alex Martinez-Marti, MD²²; Collin M. Blakely, MD, PhD^{23,24}; Yasushi Yatabe, MD, PhD²⁵; Sanja Dacic, MD, PhD²⁶; Yuri Rukazenkov, MD, PhD²⁷; Xiangning Huang, PhD²⁸; Anupriya Dayal, MD²⁹; and Jamie E. Chaft, MD^{30,31}; for the NeoADAURA Investigators

DOI <https://doi.org/10.1200/JCO.25.00883>

ABSTRACT

PURPOSE Adjuvant osimertinib is the standard of care for patients with resected epidermal growth factor receptor (*EGFR*)–mutated non–small cell lung cancer (NSCLC). Neoadjuvant treatment could improve surgical and long-term outcomes.

METHODS In this randomized, controlled, phase III study, patients with resectable, *EGFR*–mutated, stage II–IIIB NSCLC were randomly assigned (1:1:1) to receive neoadjuvant osimertinib (80 mg orally once daily for ≥9 weeks) plus platinum-based chemotherapy (once every 3 weeks for three cycles), osimertinib monotherapy (for ≥9 weeks), or placebo plus platinum-based chemotherapy (control), followed by surgical resection. Adjuvant osimertinib was offered to eligible patients after completion of surgery. The primary end point was major pathologic response (MPR) by blinded central pathology review. Event-free survival (EFS) was a secondary end point.

RESULTS Overall, 358 patients were randomly assigned to receive osimertinib plus chemotherapy (121 patients), osimertinib monotherapy (117 patients), or placebo plus chemotherapy (120 patients). Osimertinib plus chemotherapy (MPR rate 26%) and osimertinib monotherapy (25%) demonstrated statistically significant improvement in the MPR rate versus placebo plus chemotherapy (2%), with corresponding odds ratios of 19.82 (95.002% CI, 4.60 to 85.33; $P < .0001$) and 19.28 (99.9% CI, 1.71 to 217.39; $P < .0001$), respectively. With 15% data maturity, the EFS rates at 12 months were 93%, 95%, and 83% with osimertinib plus chemotherapy, osimertinib monotherapy, and placebo plus chemotherapy, respectively. In the neoadjuvant period, grade ≥3 adverse events of any cause occurred in 36%, 13%, and 33% of patients with osimertinib plus chemotherapy, osimertinib monotherapy, and placebo plus chemotherapy, respectively. No new safety concerns were identified.

CONCLUSION Neoadjuvant osimertinib with or without chemotherapy demonstrated statistically significant improvement in the MPR rate over chemotherapy alone in patients with resectable, *EGFR*–mutated, stage II–IIIB NSCLC.

ACCOMPANYING CONTENT

- Appendix
- Data Sharing Statement
- Data Supplement
- Protocol

Accepted May 9, 2025

Published June 2, 2025

J Clin Oncol 00:1-13

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with chemotherapy

It improves pathologic response without

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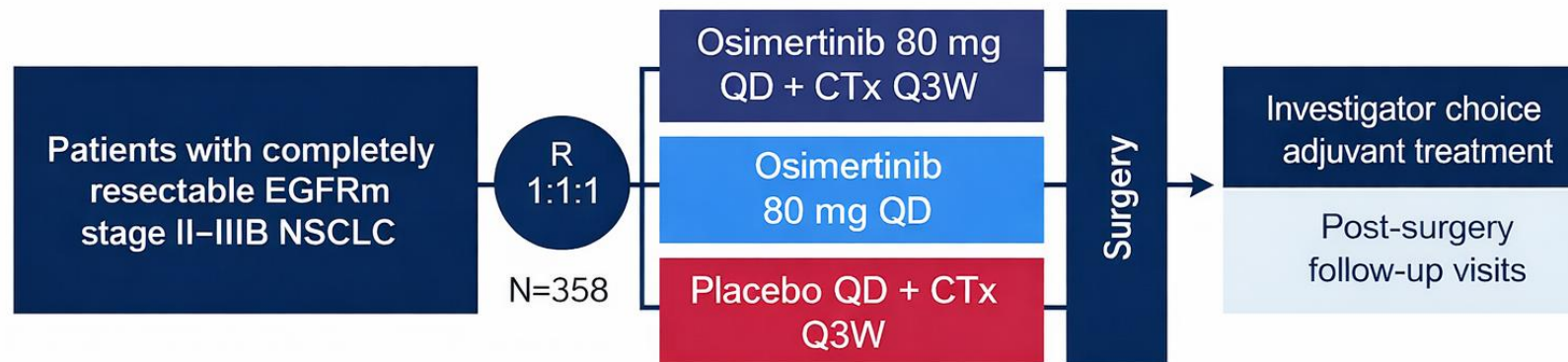
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NeoADAURA (Phase III)

- Resectable stage II–IIIB, EGFR-mutant NSCLC

NeoADAURA study design*



CTx: carboplatin AUC5 or
cisplatin 75 mg/m² + pemetrexed 500 mg/m²; 3 cycles

Primary endpoint: MPR (by blinded central pathology review)

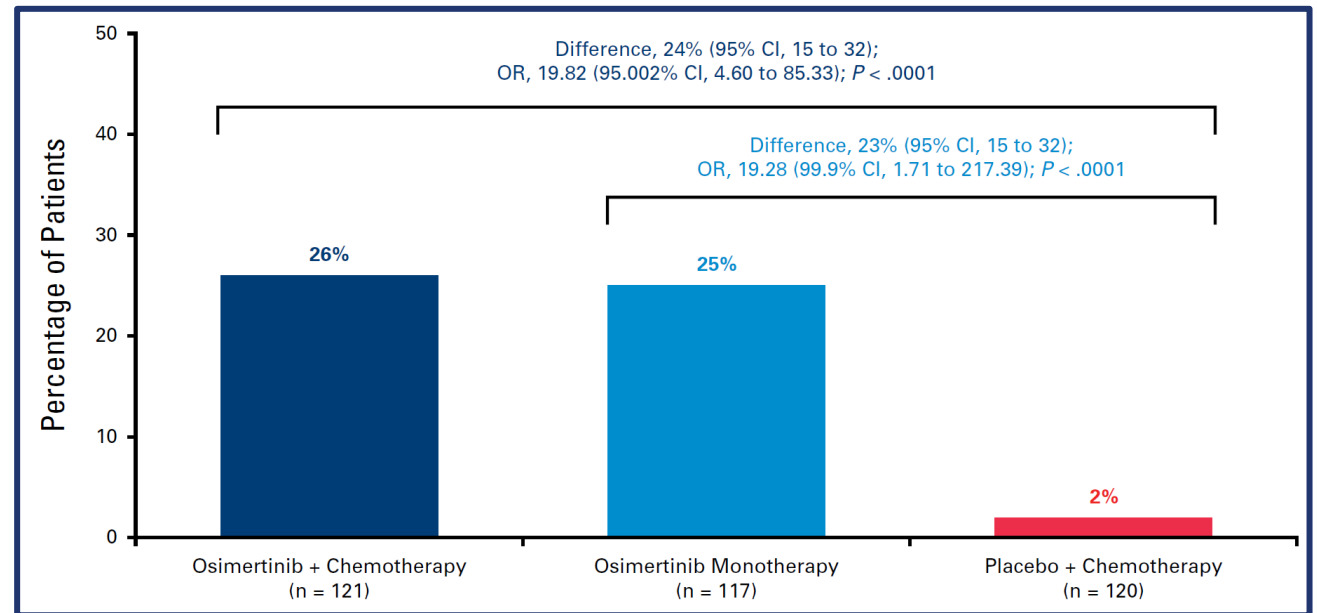
Secondary endpoints: EFS, pCR, nodal downstaging, safety, DFS and OS

compromising surgical resectability.

response without

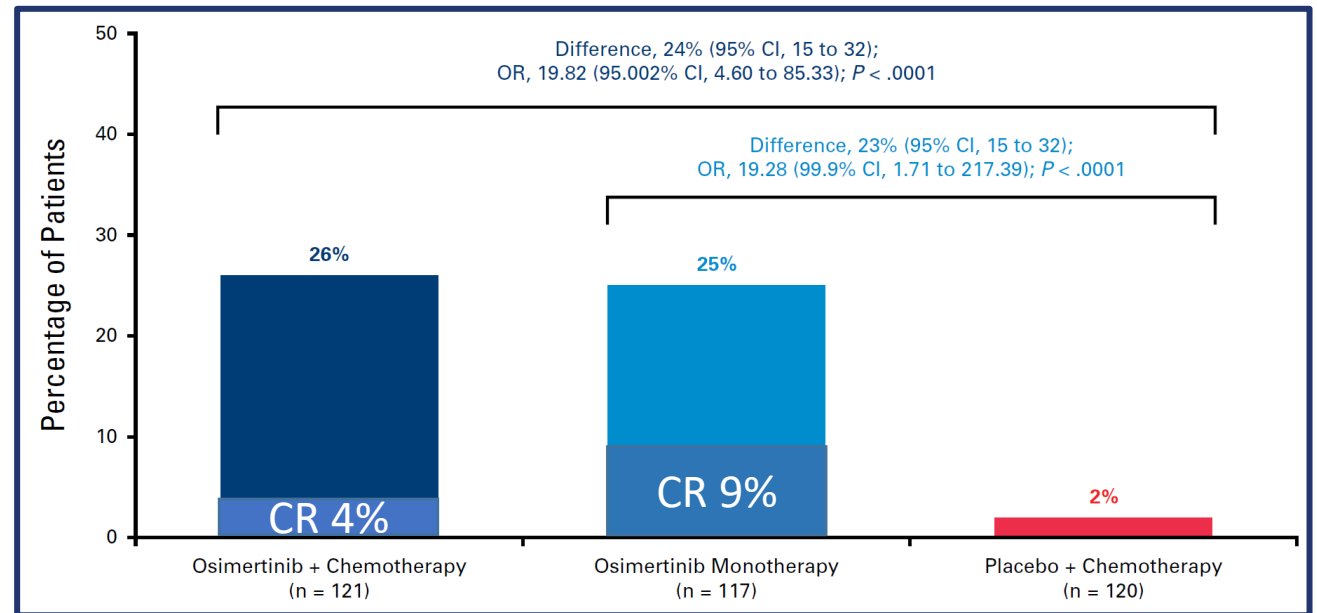
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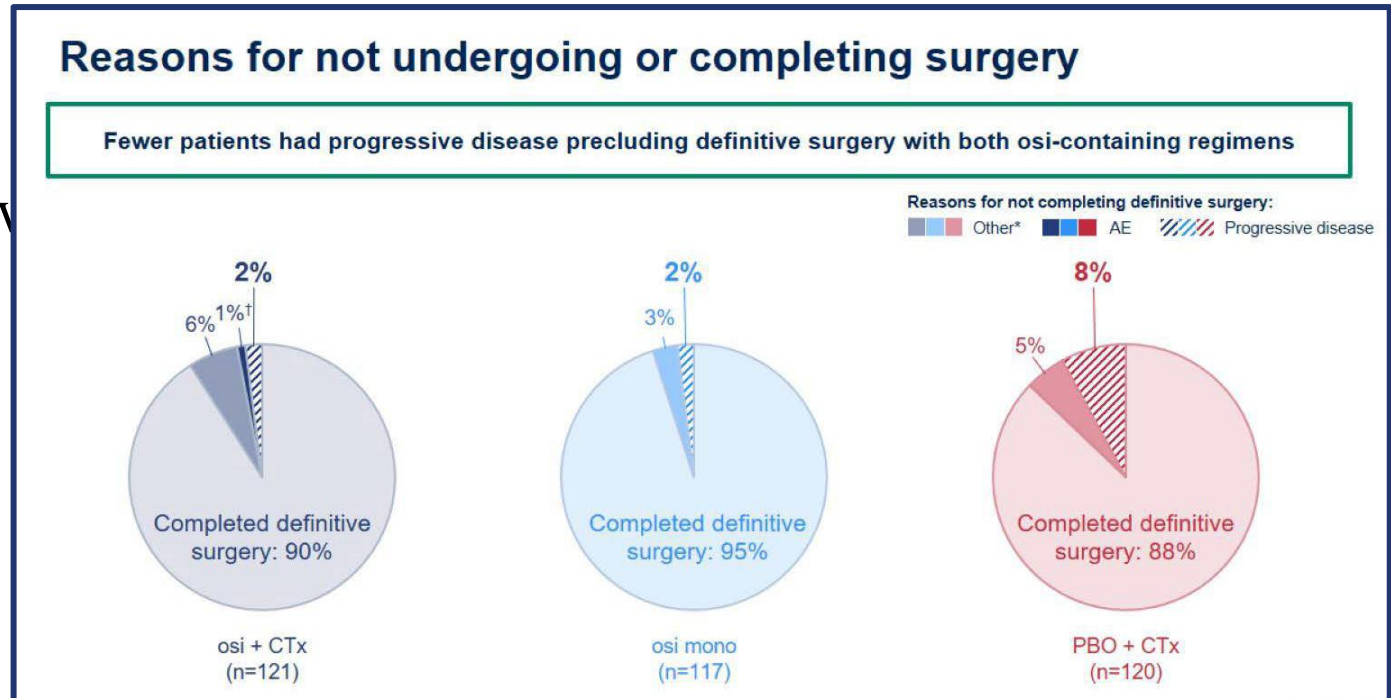
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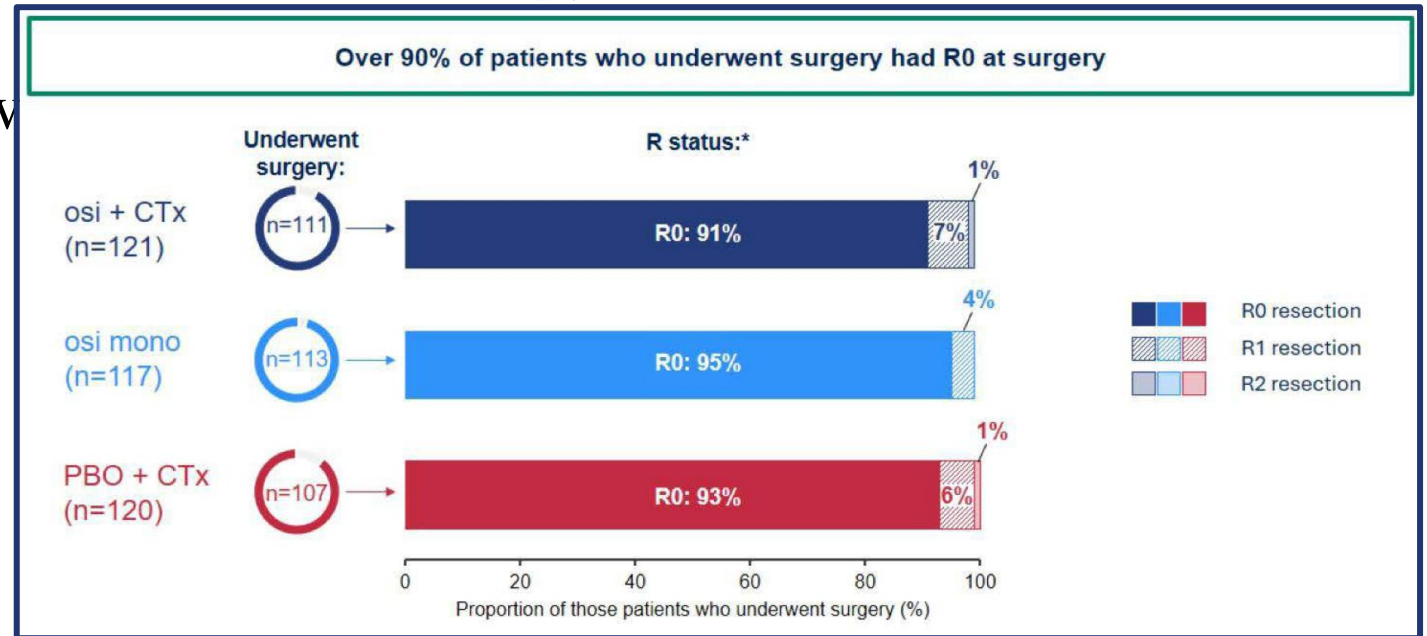
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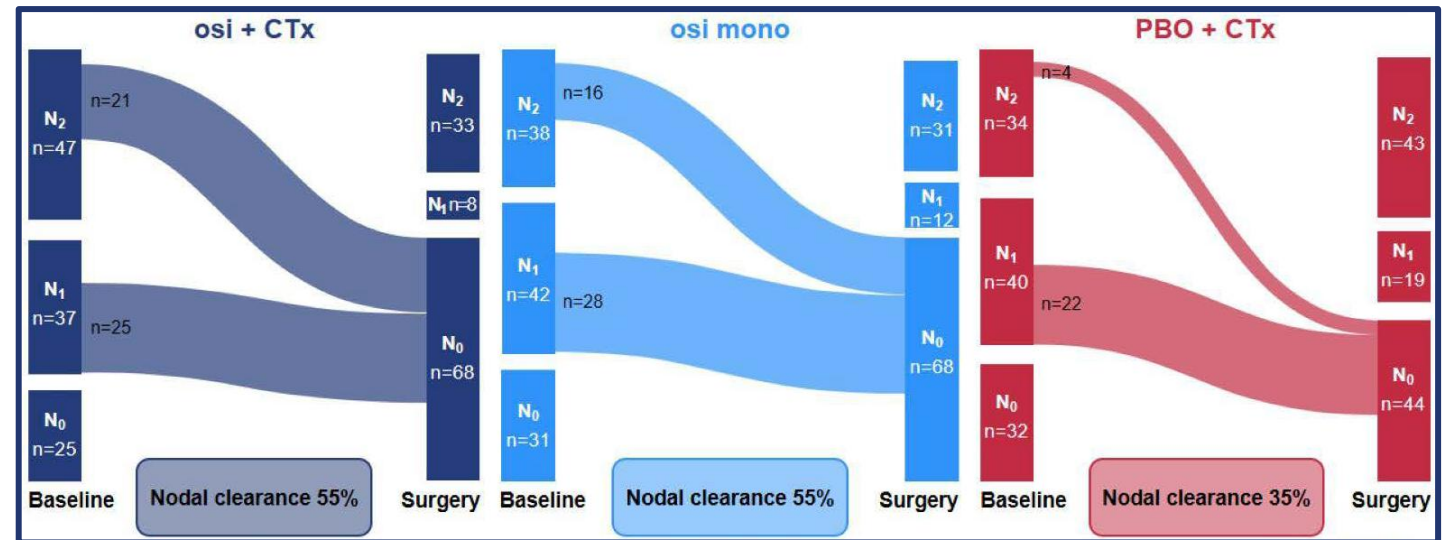
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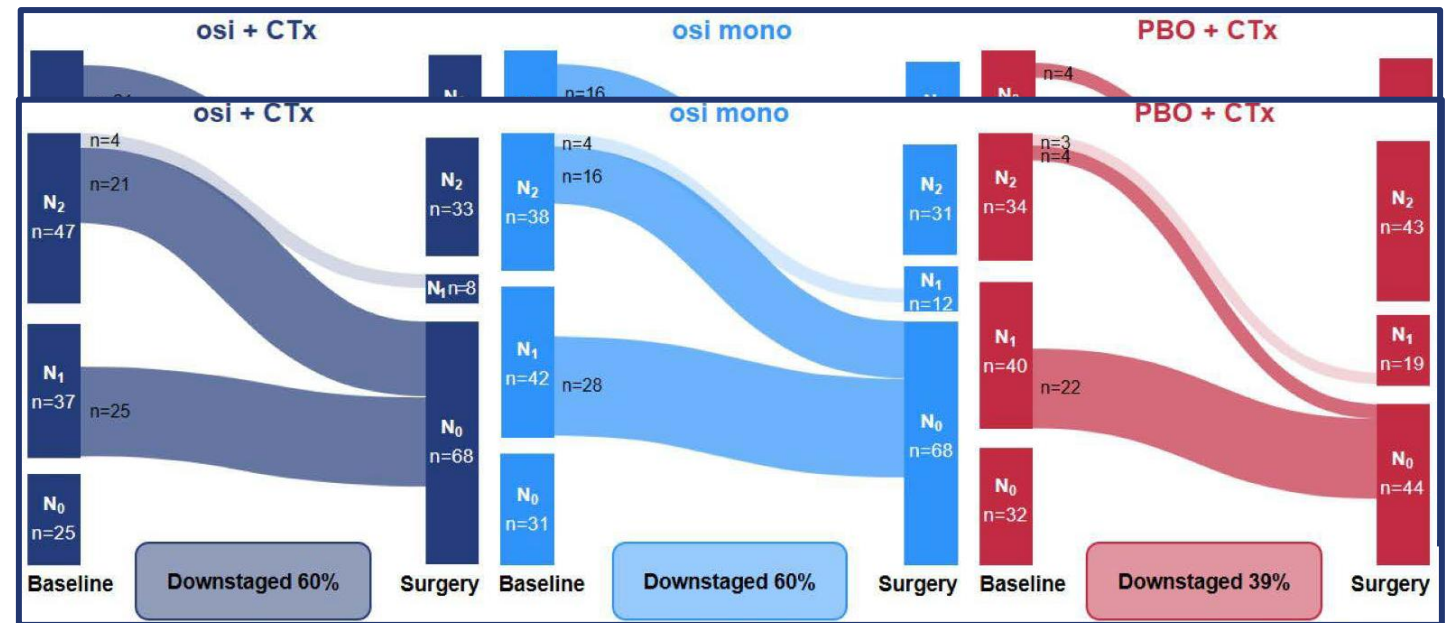
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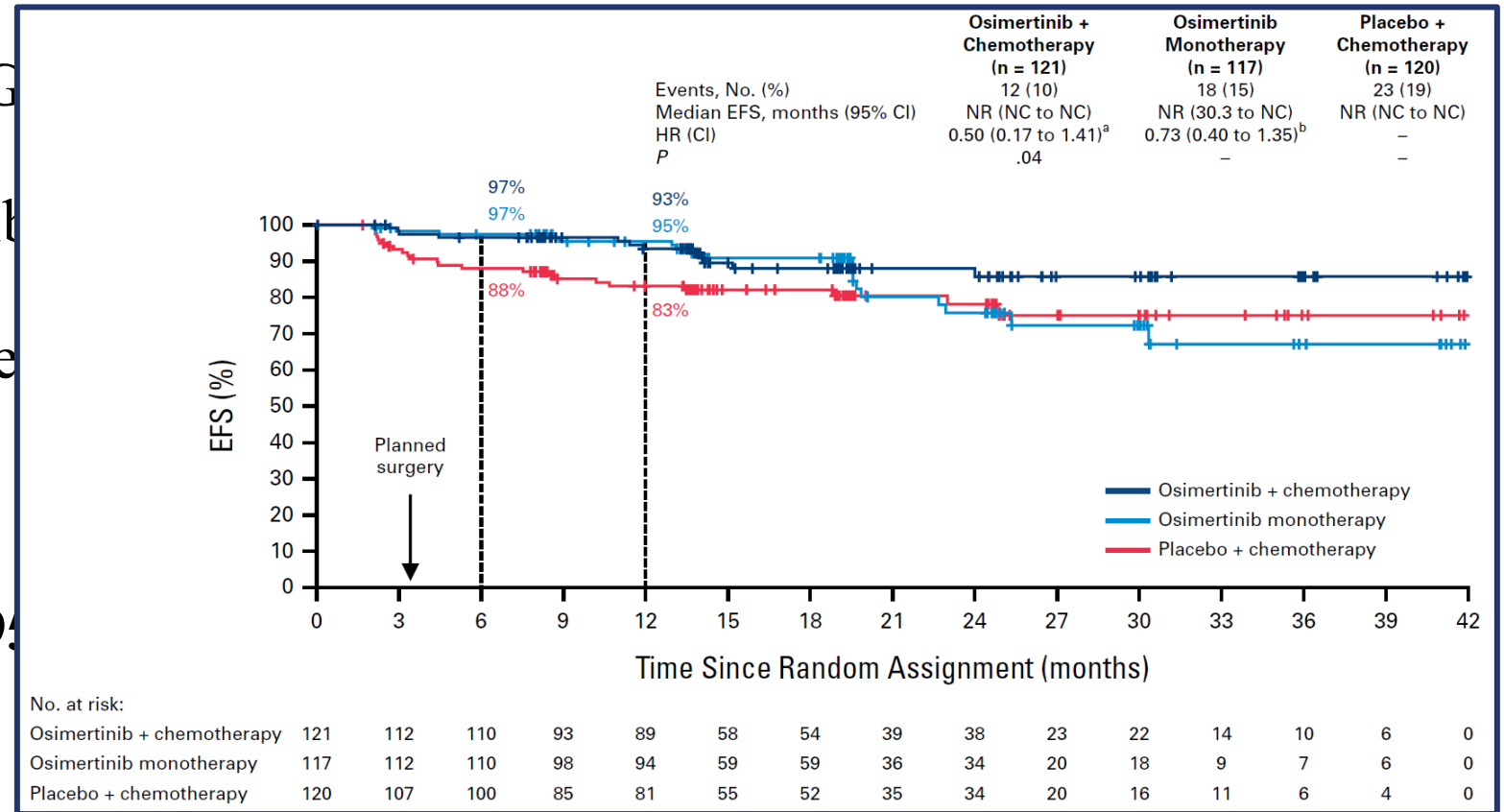
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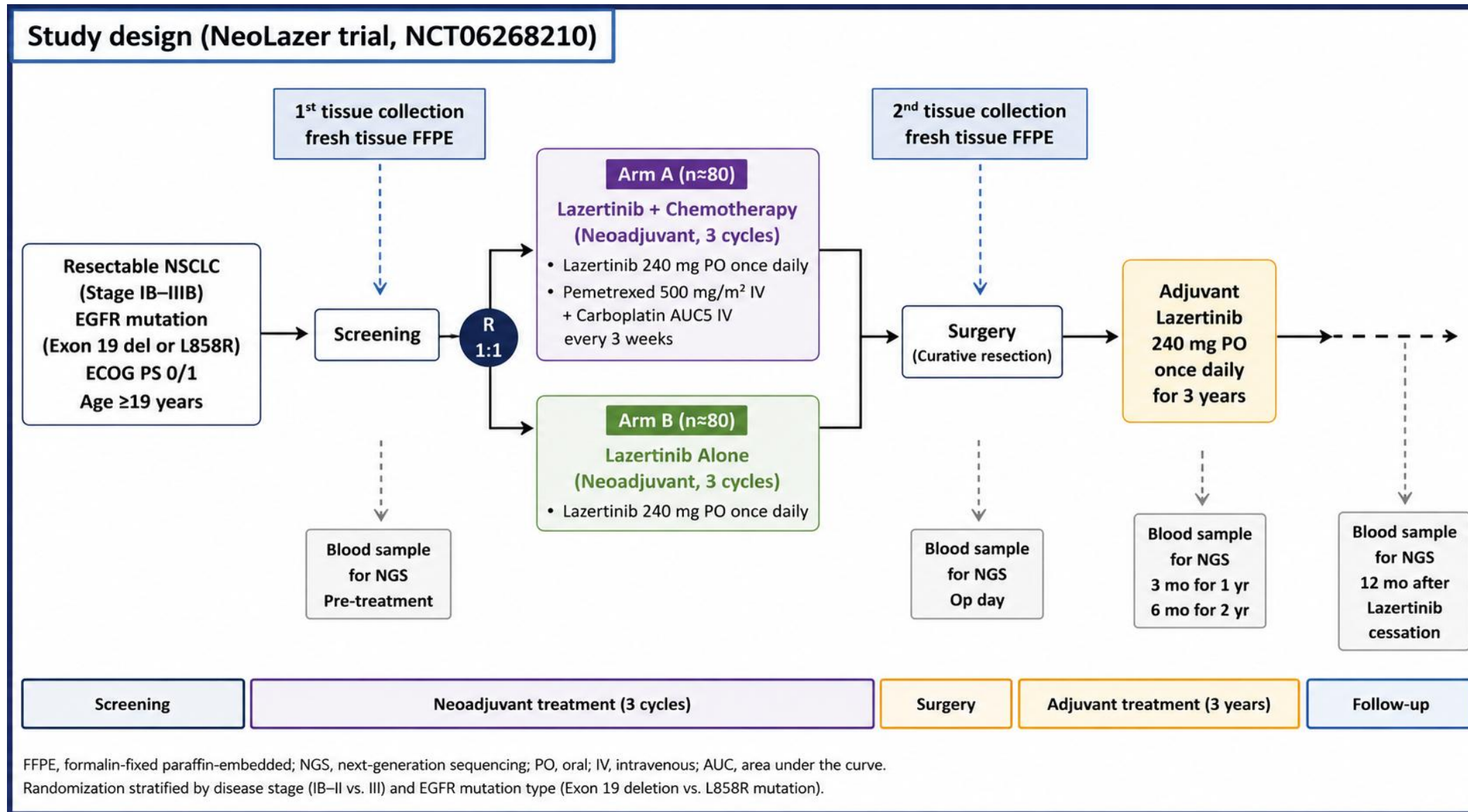


NeoADAURA (Phase III)

- Resectable stage II–IIIB, EGFR
- MPR: ~25% with osimertinib
- Pathologic complete response
- 90~95% underwent surgery
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- N2 downstaging observed
- Neoadjuvant osimertinib **significantly improves pathologic response without compromising surgical feasibility.**



Neolazer: phase II / randomized / multi-center

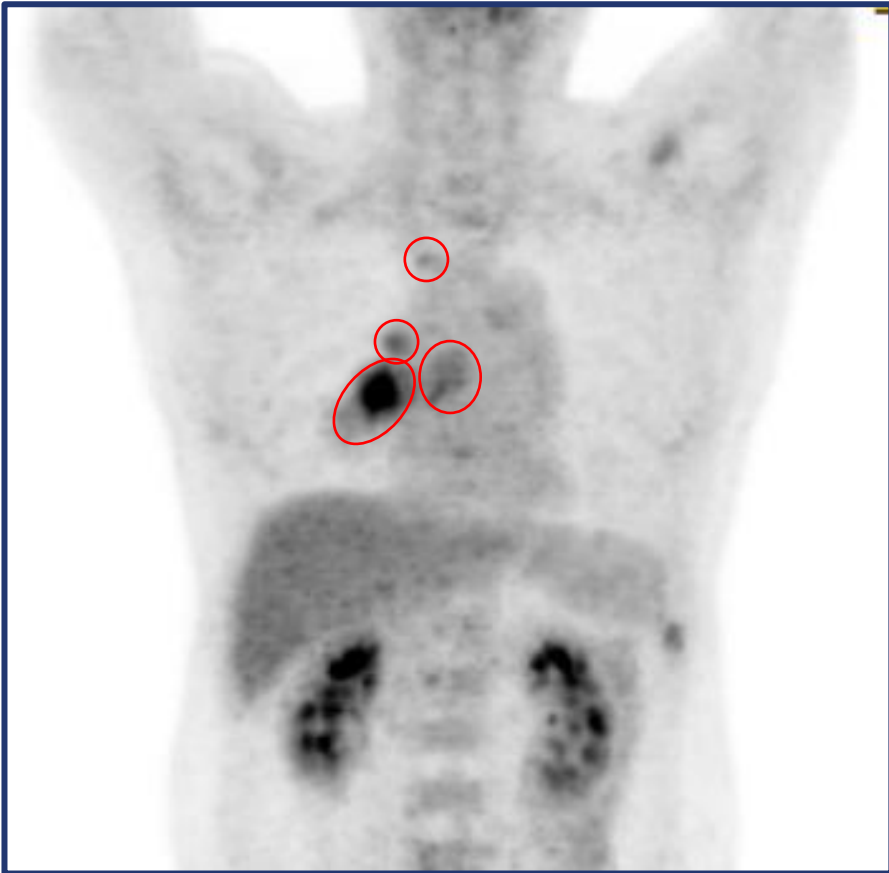


Neoadjuvant TKI Case 1

- Lung cancer (RLL, ADC, **cT3N2bM0**) + **E19del** mutation
- **Neoadjuvant Osimertinib** (2022-01-21 ~ 2022-03-16, **8wks**)

Neoadjuvant TKI Case

- Lung cancer (RLL, ADC, **cT3N2bM0**) + **E19del** mutation
- **Neoadjuvant Osimertinib** (2022-01-21 ~ 2022-03-16, **8wks**)



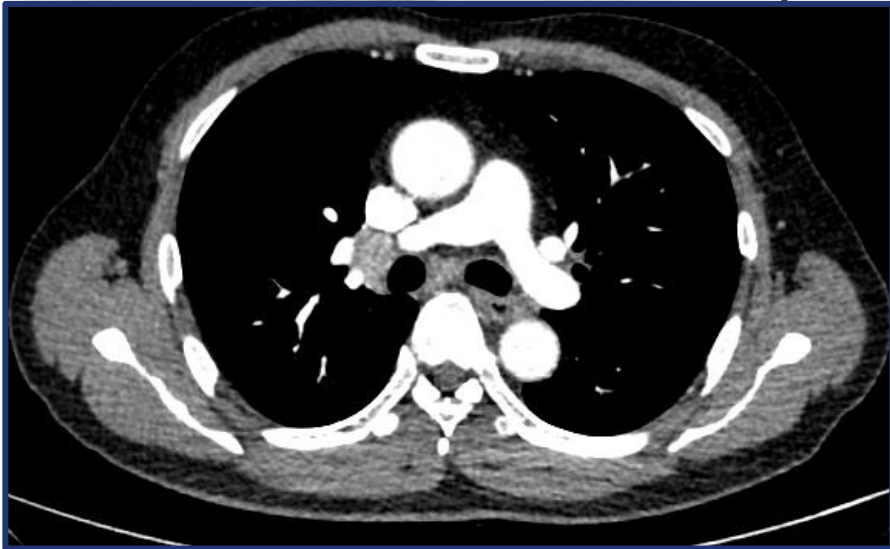
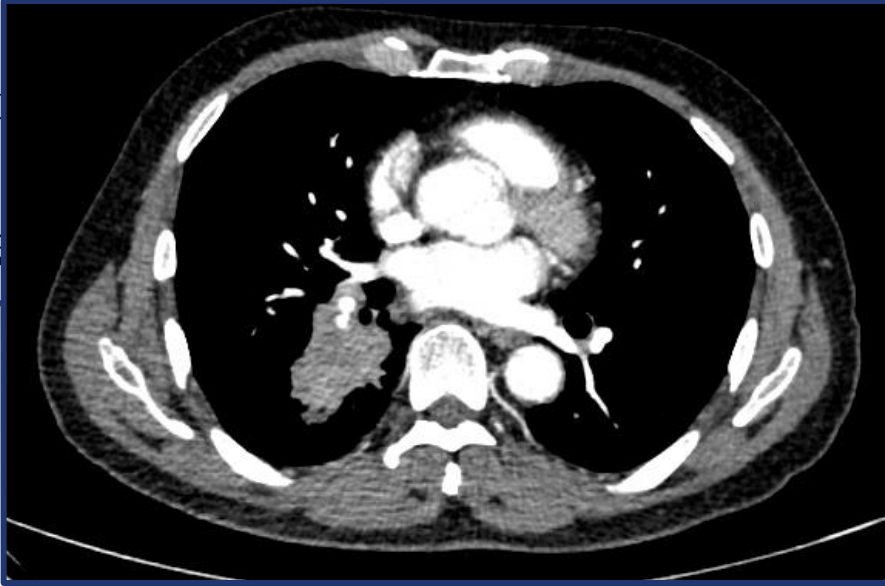
Neoadjuvant TKI Case

- Lu

- Ne

0) + **E19del** mutation

-21 ~ 2022-03-16, 8wks)



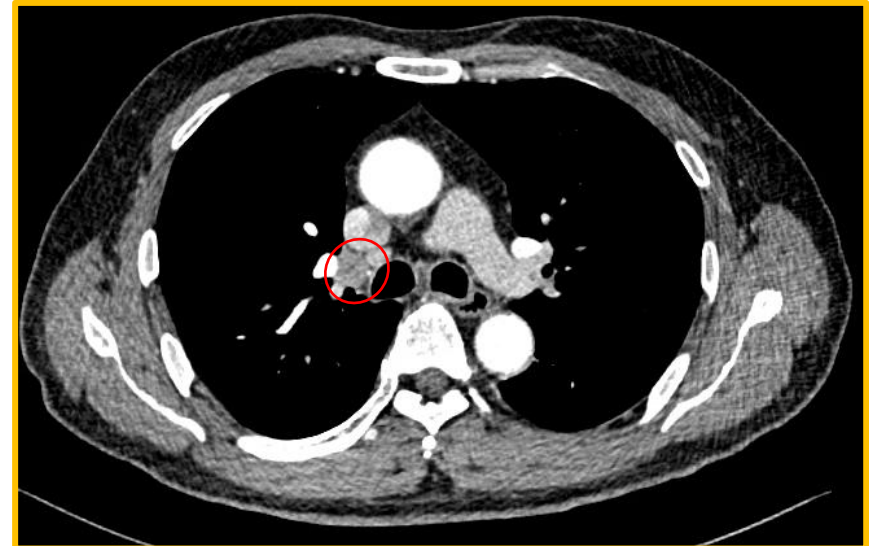
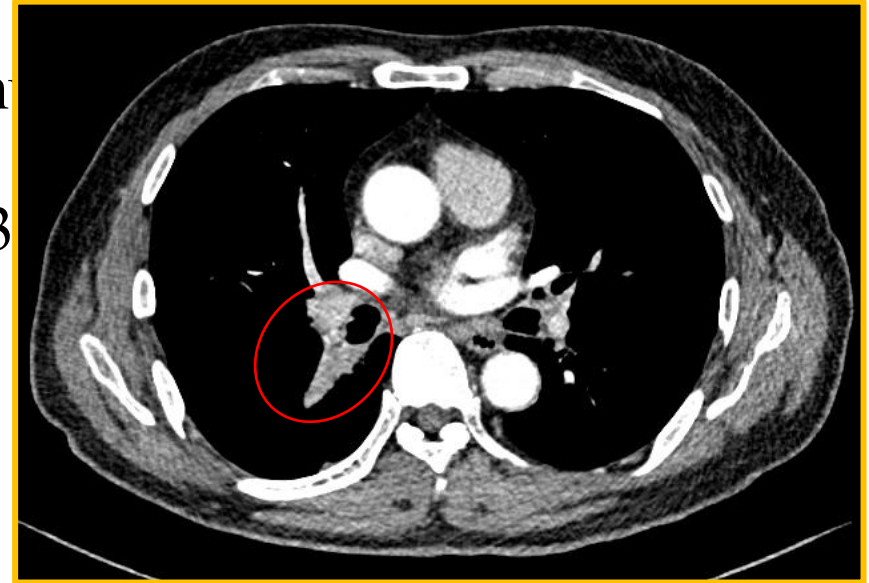
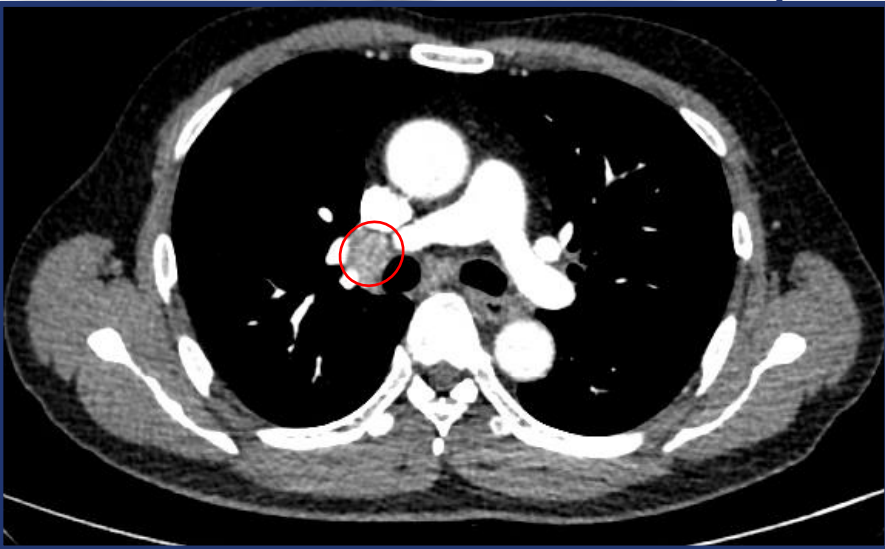
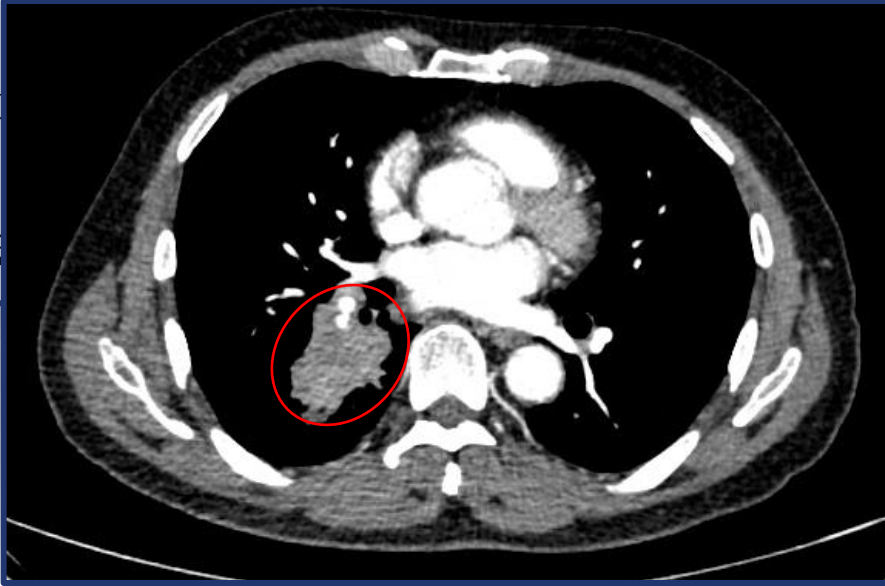
Neoadjuvant TKI Case

- Lu

- Ne

0) + E19del m

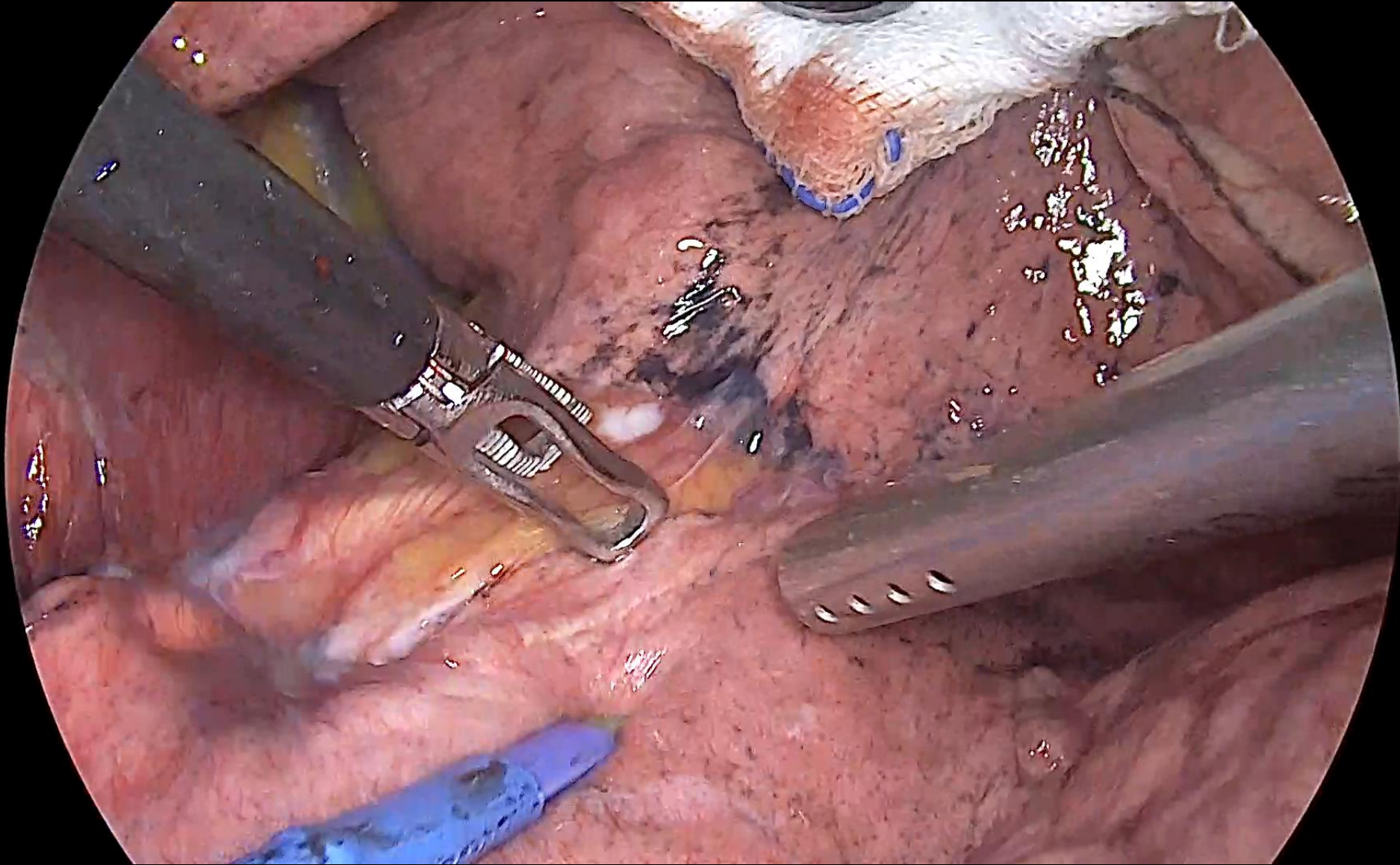
-21 ~ 2022-03



Neoadjuvant TKI Case

- Lung cancer (RLL, ADC, **cT3N2bM0**) + **E19del** mutation
- **Neoadjuvant Osimertinib** (2022-01-21 ~ 2022-03-16, **8wks**)
- VATS RLLobectomy with MLND + En-bloc wedge resection of RML (2022-03-28)

N1 LN dissection after neoadjuvant Osimertinib



Neoadjuvant TKI Case

- Lung cancer (RLL, ADC, **cT3N2bM0**) + **E19del** mutation
- **Neoadjuvant Osimertinib** (2022-01-21 ~ 2022-03-16, **8wks**)
- VATS RLLobectomy with MLND + En-bloc wedge resection of RML (2022-03-28)
- **cT3N2bM0** → **ypT3N1a(2mm)**

Neoadjuvant TKI Case 2

- Lung cancer (RLL, ADC, **cT2aN2a2M0**) + **L858R** mutation
- **Neoadjuvant Lazertinib** + chemoTx (2026-03-11 ~ 2026-05-17, **10wks** + **A/C #3/3**)

Neoadjuvant TKI Case 2

- Lung cancer (RLL, ADC, **cT2aN2a2M0**) + **L858R** mutation
- **Neoadjuvant Lazertinib** + chemoTx (2026-03-11 ~ 2026-05-17, **10wks** + **A/C #3/3**)



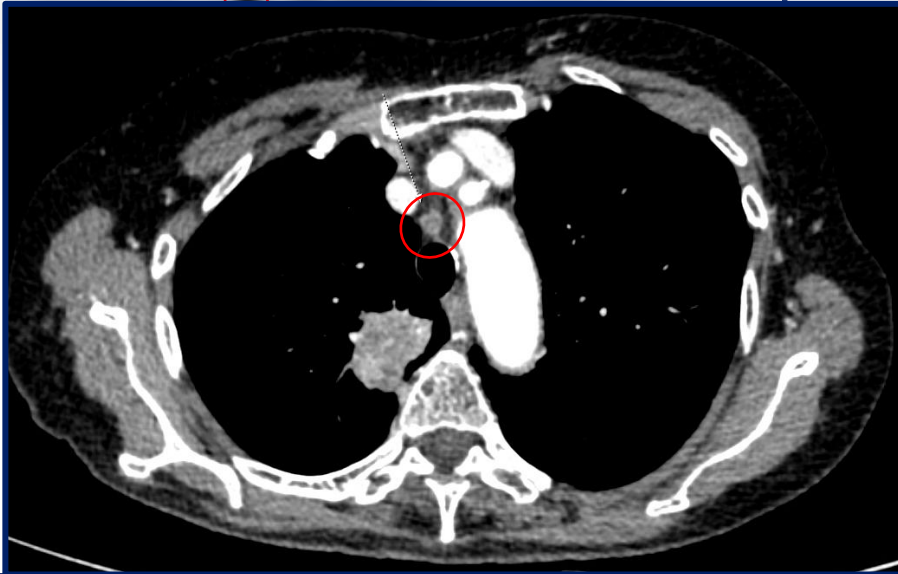
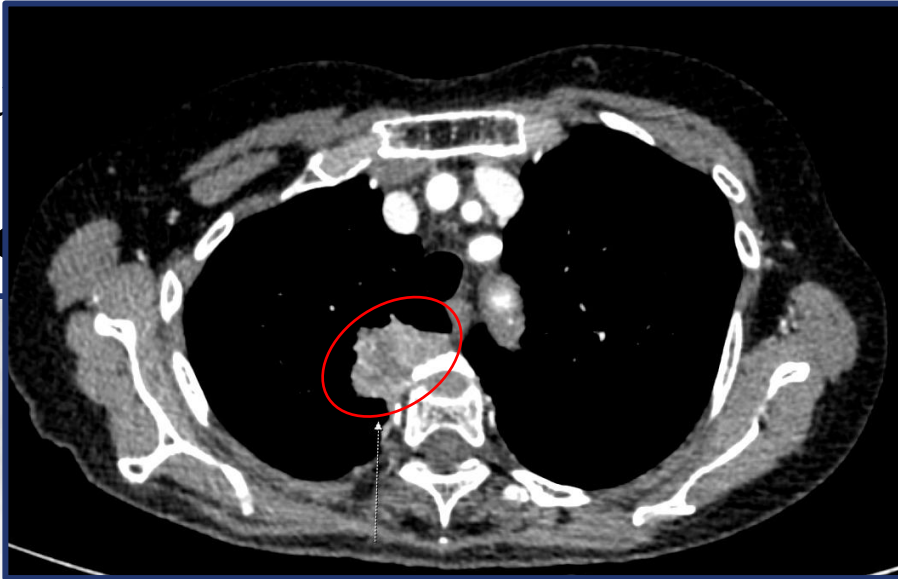
Neoadjuvant TKI Case 2

- Lu

- No

(M0) + **L858R** mutation

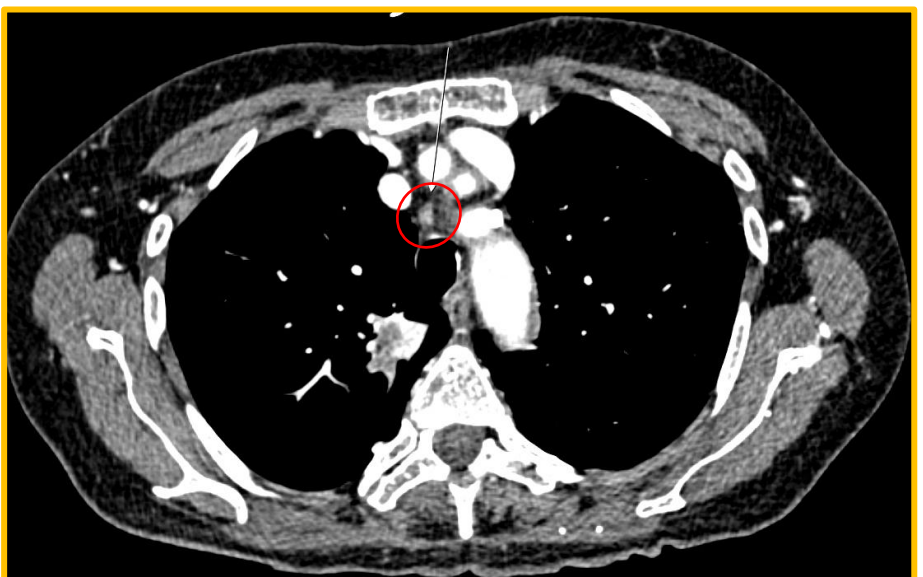
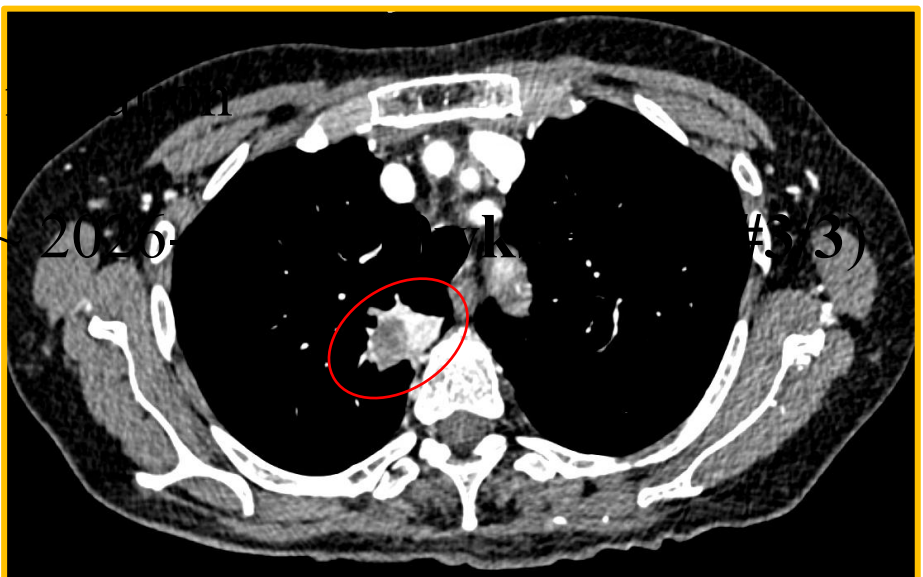
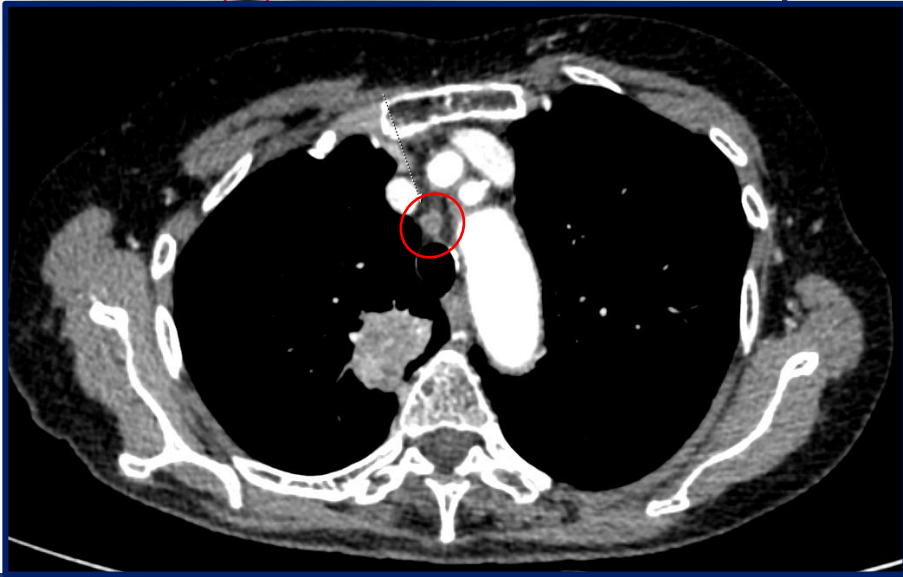
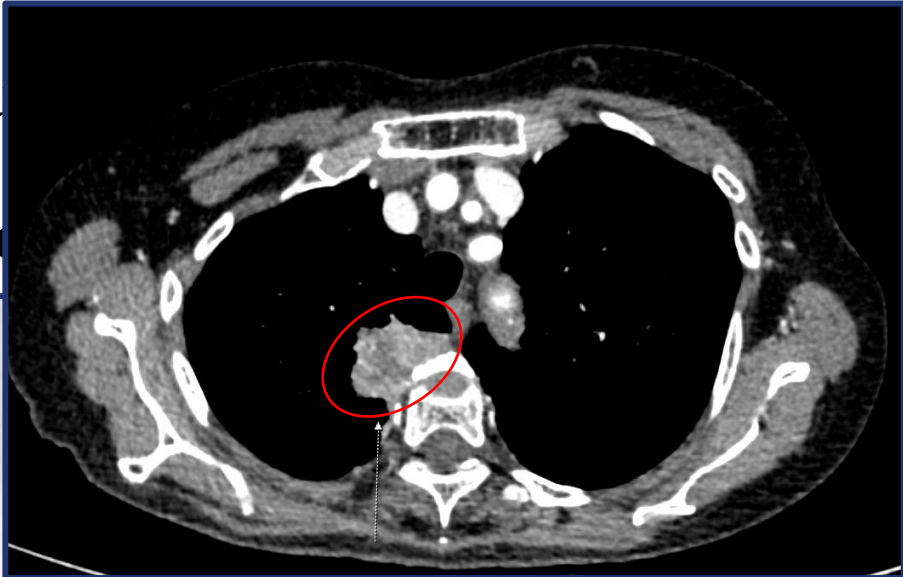
(2026-03-11 ~ 2026-05-17, **10wks** + A/C #3/3)



Neoadjuvant TKI Case 2

- Lu
- No

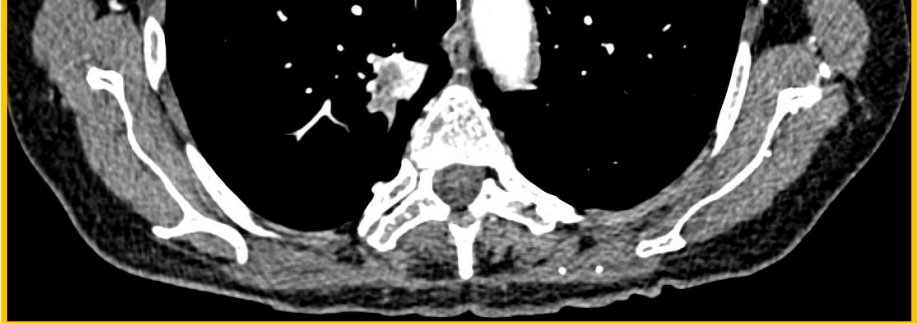
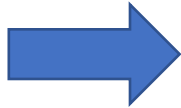
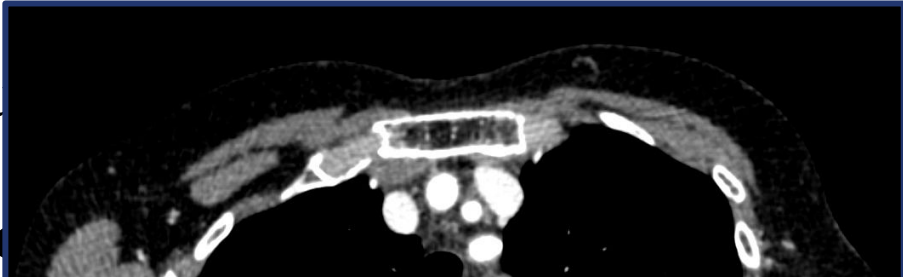
(M0) + L858R
(2026-03-11 ~ 2026-03-11)



Neoadjuvant TKI Case 2

■ Lu (M0) + L858R

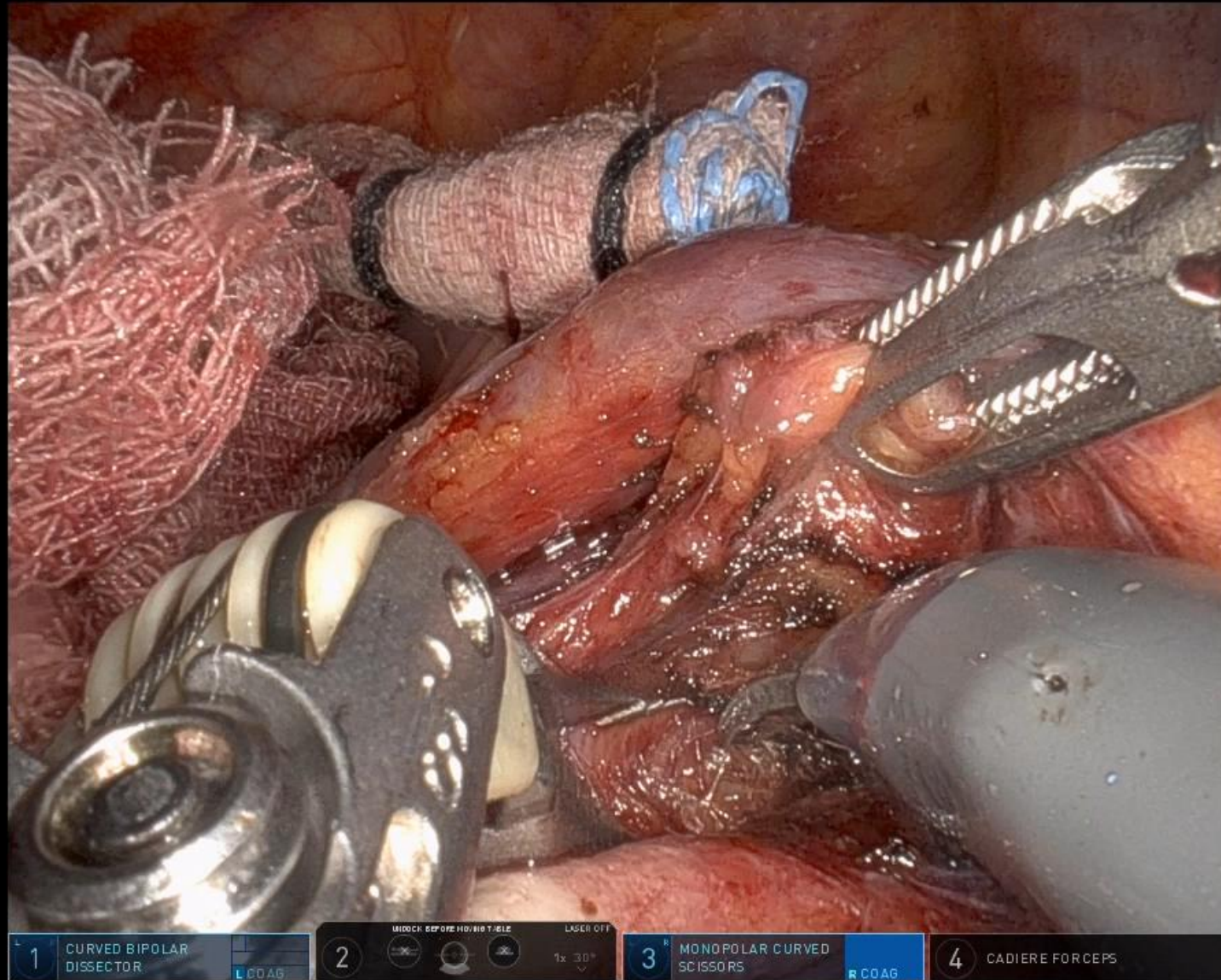
■ No (2026-03-11 ~ 2026-03-11) (4/3)



Neoadjuvant TKI Case 2

- Lung cancer (RLL, ADC, **cT2aN2a2M0**) + **L858R** mutation
- **Neoadjuvant Lazertinib** + chemoTx (2026-03-11 ~ 2026-05-17, **10wks** + **A/C #3/3**)
- RATS RULobectomy with MLND (2026-05-21)

Rt. paratracheal LN dissection after neoadjuvant Lazertinib



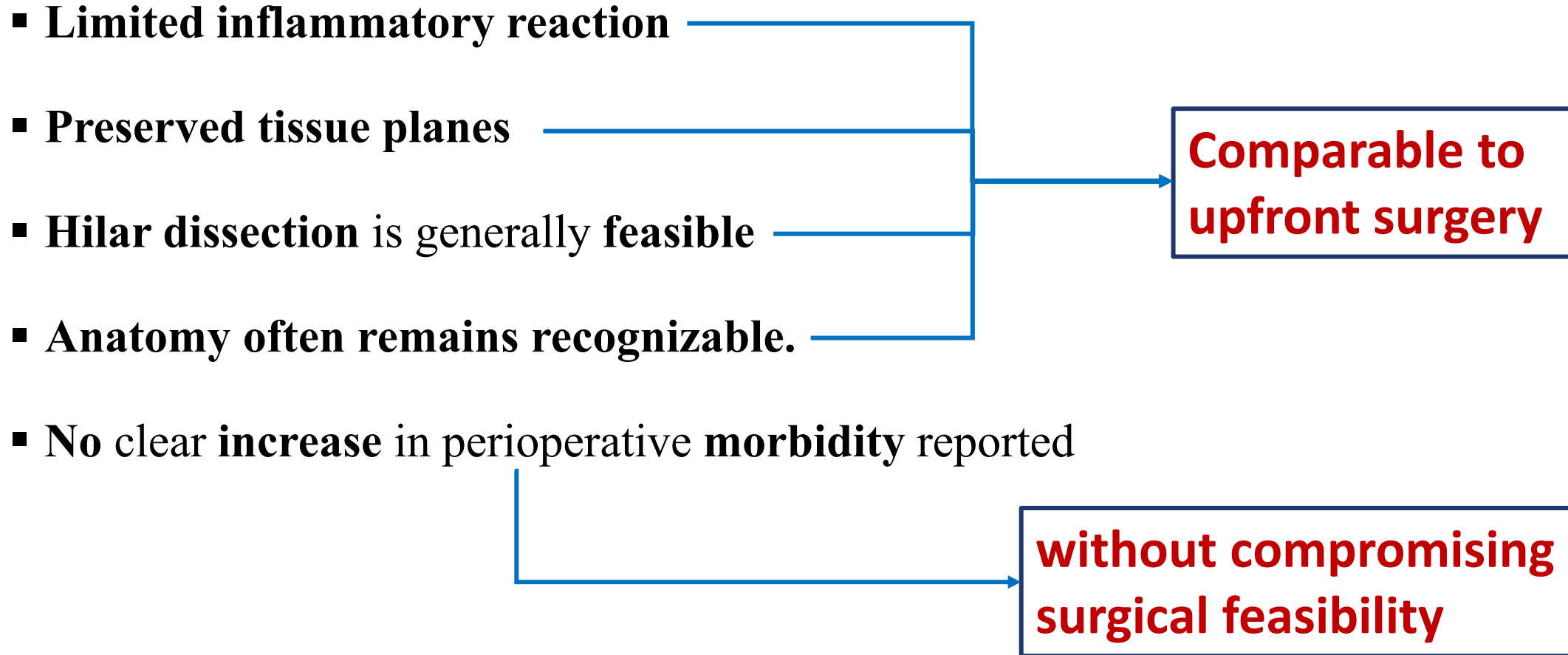
Neoadjuvant TKI Case 2

- Lung cancer (RLL, ADC, **cT2aN2a2M0**) + **L858R** mutation
- **Neoadjuvant Lazertinib** + chemoTx (2026-03-11 ~ 2026-05-17, **10wks** + **A/C #3/3**)
- RATS RULobectomy with MLND (2026-05-21)
- **cT2aN2a2M0 → ypT2aN0**

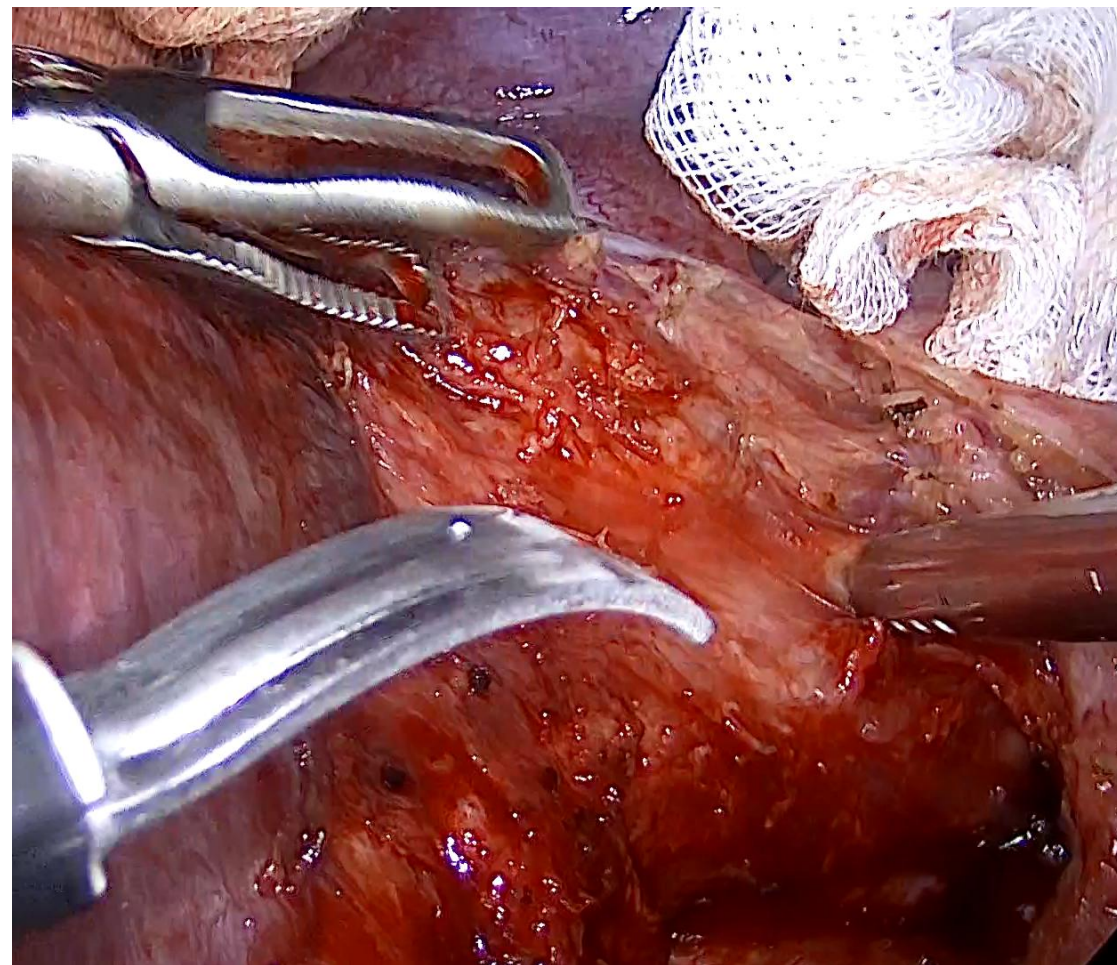
Surgical Considerations After EGFR-TKI

- **Limited inflammatory reaction**
- **Preserved tissue planes**
- **Hilar dissection is generally feasible**
- **Anatomy often remains recognizable.**
- **No clear increase in perioperative morbidity reported**

Surgical Considerations After EGFR-TKI



Compare to Neoadjuvant IO and CCRT case



Neoadjuvant TKI vs IO vs CCRT: A Surgical Perspective

	EGFR-TKI	Immunotherapy	CCRT
Dominant Effect	Targeted tumor apoptosis	Immune-mediated inflammation	Radiation-induced fibrosis + cytotoxic necrosis
Fibrosis	Usually mild	Variable, sometimes dense	Often significant
Identification of previously metastatic LN	Mild capsular thickening	Diffuse nodal enlargement d/t reactive hyperplasia	Frequently embedded in fibrotic tissue
LN dissection	Comparable to upfront surgery in most cases	May require wider clearance	Technically demanding due to fibrosis
Preservation of surgical planes	Usually preserved	Variable, inflammatory changes	Frequently distorted
Overall technical feasibility	Comparable to upfront surgery in most cases	Generally feasible, but less predictable	Technically demanding, especially in minimally invasive approaches

Take home message

- Neoadjuvant Osimertinib improves pathologic response **while preserving surgical feasibility.**
 - Neoadjuvant Osimertinib significantly improves MPR
- Neoadjuvant Osimertinib shows **favorable early event-free survival** signals, pending longer-term follow-up.
- Given the **low risk of preoperative progression** and **recent availability in Korea**, neoadjuvant EGFR-TKI is **becoming an increasingly important treatment option.**

Severance

